

GRADUATE HANDBOOK 2023

COMSATS UNIVERSITY ISLAMABAD

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All possible efforts have been made to make the Graduate Handbook 2023 free from all kinds of errors and omissions. However, in case of any errors or omissions reported, herein, the original notifications of the subject matter shall be preferred and only the Registrar with approval of the Rector CUI shall issue clarification if necessary.

Rector's Message

Dear Graduate Students,

I am pleased to give you a copy of the Graduate Handbook, which I hope will help both new and continuing students navigate graduate student life at the COMSATS University Islamabad (CUI). If you are a new student, I am delighted that you have chosen CUI and I hope that your graduate study here will meet all your expectations. If you are a continuing student, I hope that the coming year will be rewarding and productive.

The handbook describes the important "Rules and Regulations" for graduate study. You may also find it useful to consult the Head of the respective department on the latest updates on rules, regulations, policies and procedures. Your closest ties are likely to be in your department, and in most matters, I expect that you will want to turn to your Adviser/Supervisor/secretary DAC/HoD/Chairperson or respective faculty members for assistance.

While your graduate activities will be based in your department, I encourage you to take advantage of the academic diversity of CUI by broadening your graduate experience beyond your department, and even beyond your university. Academic and Professional Workshops and seminars provide possible avenues to diversify your graduate experience, and I encourage you to explore, in consultation with your advisor/supervisor, multidisciplinary opportunities for your graduate work at CUI.

I always look forward to hearing from my graduate students. I wish you all the best in the coming year.

Prof. Dr. Muhammad T. Afzal Rector

Executive Summary

The CUI is one of the leading graduate research institutions in Pakistan. CUI is committed to providing a breadth of study and excellent interdisciplinary experience classified in different faculties under twenty-two distinct departments at CUI campuses. In addition to our outstanding faculty, exceptional facilities, state-of-the-art research and library resources, the campuses have a warm and collegial spirit that is conducive to personal growth and scholarship. Our mission is to serve as a center for research, scholarship and creativity and to teach a diverse community of undergraduate, graduate, and professional students to become the next generation of leaders in academia, industry, and public services.

The primary objective of the Master of Science (MS) and Doctor of Philosophy (Ph.D.) programs is to impart education and training to a student in the area of interest for pursuing graduate studies, to inculcate the spirit of learning in the student, and enhance his/her research orientation, critical thinking, research analysis capabilities, hypothesis testing and report writing skills. Graduate programs at CUI have been tailored to equip the students with all essential skills required for the successful accomplishment of research activities.

This graduate handbook is intended to provide a general overview of the rules, regulations, policies and requirements of graduate studies at CUI. Each graduate student should become familiar with these guidelines, and, together with a faculty advisor/supervisor, make certain that the chosen program of study complies with all policies for graduate degree programs.

Although every effort is made to keep this book up to date, you must also consult the head of the respective department, incharge graduate program, the graduate coordinator/Secretary DAC or a person/office assigned such tasks at each campus for additional and up-to-date information, errors and omissions, which may vary depending on the year you entered the program. It is recognized that exceptions will occur. CUI reserves the right to make changes to this Handbook at any time. In instances of a discrepancy between this document and the online record, this Handbook takes precedence, and the issued notifications will prevail.

1 Admission Policies and Procedures

1.1 Who Can Apply?

CUI follows a non-discriminatory policy covering admissions and management of the University's programs and activities. Admission to various degree programs at CUI shall be open to all eligible applicants, which are not discriminated against based on race, colour, religion, gender, disability, age, national and regional origin, ancestry or marital status.

1.2 Eligibility

1.2.1 MS Degree

- (a) A 16-year degree, in the relevant field, from an accredited educational institution.
- (b) Minimum CGPA of 2.5/4.0 (semester system) or First Division (annual system) with no third division (annual system) or 'D' grade (semester system) throughout the academic career.
- (c) NTS GAT (General) with a minimum of 50% marks (must be valid on the date of admission) or 60% score in any other entry test adopted by the university.

1.2.2 Ph.D. Degree

- (a) An MS/M.Phil with Thesis or its equivalent degree with Thesis, in the relevant field, from an accredited educational institution with a minimum CGPA of 3.0/4.0 (under semester system) or 70% marks (under conventional system) with no third division (annual system) or 'D' grade(Semester System) throughout the academic career.
- (b) GRE (subject) as per HEC policy, or NTS GAT (subject) with at least 60% marks (must be valid on the date of admission) or 70% score in any other entry test adopted by the university.
- (c) CUI result-awaiting students may be admitted provisionally in Ph.D. programs at the CUI system. However, their admission shall be confirmed after being awarded MS degrees with a minimum CGPA of 3.0/4.0.
- (d) In the case of an MS degree by Research where coursework/CGPA is not mentioned, the student may be admitted in the Ph.D. program on the recommendation of the Chairperson and approval of the Dean concerned.

1.3 How to Apply

- (a) Candidates may apply for admission to a graduate degree program in response to admission notice on CUI Online Admission System (http://admissions.comsats.edu.pk)
- (b) All admissions at CUI will be offered provisionally and will be confirmed once all the desired formalities are met and the student submits all the required documents.
- (c) Admission applications must be submitted within the due date advertised at the time of admission. Late submissions shall not be entertained.
- (d) Candidates must check the eligibility criteria before submitting their online application forms to confirm that they are academically eligible for admission into the program of their choice.
- (e) The following documents shall also be submitted with the completed Admission Forms: Two attested photocopies each of Certificates/Detailed Mark Sheets of matric or equivalent examination, intermediate or equivalent examination, bachelor's degree or equivalent examination, and all graduate degrees or equivalent examinations.

1.4 Entrance Test

All applicants are required to submit valid proof of relevant NTS GAT General (for MS applicants) / Subject (for Ph.D. applicants) or GRE (for Ph.D. applicants only) score or any other entry test adopted by the University as specified in section 1.2.2.

In case of admission of International student at CUI the respective department will conduct its own test instead of NTS or other test(s) as per HEC policy, and the interview will be conducted online through video link (e.g. skype / zoom etc.) before grant of admission at CUI

1.5 Merit

All admissions are regulated based on merit determined by combining the weighted marks obtained in the entrance test and previous academic examinations. Subsequently, selected applicants are called for an interview. The final selection is based on the weighted marks assigned to the academic merit, the entrance test and satisfactory performance in the interview, as per the following Merit Finalization Criteria for Admission in MS and Ph.D. Programs:

1.5.1 MS Program

	Previous Qualification	Weight
(a)	SSC/O-Level or Equivalent	5%
(b)	HSSC/A-Level or Equivalent	10%
(c)	i. Graduate Degree (2 years)	10%
	ii. Master's Degree (2 years)	15%
	Or	
	Graduate Degree (4 years)	25%
(d)	GAT/GRE Test Score	40%
(e)	Interview	20%

1.5.2 Ph.D. Program

	Previous Qualification	Weight
(a)	SSC/O-Level or Equivalent	5%
(b)	HSSC/O-Level or Equivalent	5%
(c)	i. Graduate Degree (2 years)	5%
	ii. Master's Degree (2 years)	10%
	Or	
	Graduate Degree (4 years)	15%
(d)	MS/M.Phil	20%
(e)	GAT/GRE Test Score	25%
(f)	Interview	30%
	Applicants who fail (unable to obtain 60%	6 marks) in
	the interview shall not be admitted.	

1.6 Preliminary Selection

The applications for admission in Graduate Programs shall be evaluated by the Departmental Graduate Admission Committees, convened by the Chairperson/HoD or a nominee of the Department. The committee while evaluating the eligible candidates for graduate admissions shall ensure the following:

- (a) The academic profile of the candidate and the references (if applicable). Candidates with outstanding academic careers from HEC-recognized institutions shall only be considered for admission at CUI. However, the minimum laid down criteria as per policy, including CGPA shall be adhered to.
- (b) For Ph.D. program, the relevancy, strength, and CGPA of the courses taken by the candidate during MS/M. Phil at the previous institution may be given due weightage.

- (c) Any question about the relevancy or deficiency of the courses should be addressed by the Chair of the Graduate Admission Committee of the Department and recorded.
- (d) Availability of a suitable supervisor and his/her acceptance for the supervision of the candidate's research work.
- (e) Availability of requisite laboratory, related equipment, and library support for the conduct of doctoral research.
- (f) The candidate may be called for a preliminary interview for clarification regarding the above points if desired.

The Departmental Graduate Admission Committee shall contact the applicants selected for a departmental interview and preliminary selection. The applicants are required to bring all original documents/certificates at the time of the interview for verification.

1.7 Final Selection and Provisional Admission Letter

The recommendations/selections of the respective Departmental Graduate Admission Committee will be forwarded to the Admission Office for publication of the lists on the admission system and issuance of the provisional offer letter to the selected candidates along with a Bank Challan Form for payment of dues.

1.8 Acceptance of Provisional Admission Letter

Selected candidates are required to accept their Provisional Admission Letter by submitting the signed acceptance, paying prescribed fees, and submitting desired documents, verified by the campus admission office. If a candidate fails to confirm enrollment by the given deadline, selection shall stand cancelled, and the seat will be offered to the next candidate on the waiting list.

The Admission Office, after issuing the Provisional Admission Letter, shall forward the student's file to the office concerned at the respective campus for verification process from the respective Board/University. The "Admission Confirmation Letter" shall be issued by the Academic department/section of the relevant campus preferably in the first semester after verification of documents.

1.9 Cancellation of Admission

- (a) Admission of an MS/Ph.D. student may be cancelled at any time by the Director/Incharge Campus on the written request of the student duly recommended by the Chairman/HoD. In the case of a newly admitted student, the fee may be refunded as per university policy.
- (b) Admissions may be cancelled in case of any misrepresentation of facts or forgery of documents without any fee refund. University has the right to take legal action as may be deemed necessary.

- (c) Admission may also be cancelled if a student has a prolonged absence, consistently negative progress reports, not meeting the deadlines of Synopsis Approval / Thesis Submission or any other reason mentioned in the relevant rules and regulations that may be amended from time to time..
- (d) Admission of an MS/Ph.D. program student may be deferred by the Director/Incharge Campus, only for one semester, due to unavoidable circumstances subject to the condition that all the eligibility requirements are fulfilled at the time of admission as well as at the enrollment in the subsequent semesters.

1.10 Departmental Graduate Admission Committee

The Departmental Graduate Admission Committee of a department shall be appointed by the Chairman/Head of the department concerned and shall normally consist of the following:

(a)	Chairman/Head of the Department*	(Convener)
(b)	At least three faculty members of the Department	(Members)
(c)	Secretary DAC	(Member/Secretary)

* The chairman/head of the department may nominate another faculty member as the convener if and when deemed necessary.

2 Graduate Policies and Regulations

2.1 Basic Requirements

- (a) A candidate for admission to a particular degree program who has been offered provisional admission must confirm his/her enrollment by depositing the requisite fee/charges into the campus's relevant account and completing the course registration procedures.
- (b) A student must make timely progress towards degree completion and satisfactorily meet the following standards established by the Board of Advanced Studies and Research (BASAR)for the students admitted in or after Fall 2023 semester:
 - (i) To maintain good academic standing (GAS), a student must maintain 2.5/4.0 CGPA at the end of each semester.
 - (ii) When the CGPA of a student falls below 2.5/4.0 in any semester, he/she is placed under probation (PRB). If in the next semester, his/her CGPA does not come up to at least 2.5/4.0, the student shall be dropped (Dismissed DIS) from Graduate Program. Furthermore, if a student gets F Grade twice in the same course, the student will also be dismissed (Dismissed DIS) from the graduate program. In these cases, the student's admission shall stand canceled without any notice, and they will no longer be able to continue their studies in the program.
 - (iii) A student who fails a course shall have one opportunity to reappear in the examination by repeating the course whenever it is offered. In case of a failed course, the student will have to pass the same course in which he/she was previously registered and cannot register for any other course in lieu of the previously registered course.
 - (iv) Meet all the prescribed degree requirements such as specified time limits, satisfactory performance in the required courses, satisfactory research progress, and passing thesis and coursework examinations within the specified number of attempts as per the effective scheme of study, rules and regulations.
- (c) The *Two 'C' Grade Policy*, as follows, shall be applicable to MS and Ph.D. students admitted in or before Spring 2023 semester.
 - (i) To be eligible for the award of a degree for any Graduate Program, a maximum of one
 (01) course with C or lower grade (except F grade), out of all required courses as per
 the Scheme of Studies, shall be allowed.

- (ii) If a Graduate student obtains C or lower grade in One (1) course, in the First Semester examination, and wants to avail the only chance for improvement of the result of each course with a C or lower grade, he/ she will be allowed to register for the same course in the second or any subsequent semester; however, his/her status in the result card will be reflected with probation (PRB).
- (iii) If a Graduate student obtains C or lower grade in more than one (1) course, in the First Semester examination, S/he will be dismissed (DIS) from studies and his/ her admission shall stand cancelled automatically.
- (iv) If a Graduate student obtains or accumulates C or lower grade in more than two (2) courses in a graduate program, in the second or any subsequent Semester examination, he/she will be dismissed (DIS) from studies and his/ her admission shall stand cancelled.
- (v) If a Graduate student having a C or lower grade in One (1) course, in the First or any other semester examination results, gets a C or lower grade in one (1) more course during subsequent semesters, resulting in the accumulation of C or lower grade in maximum two (2) courses, he/she will be allowed to register in the next or any subsequent semester, with probation (PRB) status, and will have only one chance of improvement for the course(s) with C or lower grades in subsequent semesters.
- (vi) The student will remain on probation status if he/she obtains a C or lower grade in one course and his/her probation status will be cleared at the time of declaration of the final result, if he passes all remaining courses, as per the scheme of studies, in higher grades than C.
- (vii) In case of a failed or C grade course, the student will have to pass/improve the same course in which he/she was previously registered and cannot register for any other course in lieu of the previous course, which he/she intends to pass/improve the grade. If the student is unable to obtain the required grade in such an elective course, he/she shall not be granted another chance for improvement.

The Chairs/Heads of the Departments and the respective DACs shall share responsibility for monitoring the progress of students and detecting problems. Written/electronic notices should be served to the students experiencing academic difficulties, outlining corrective measures that the students must follow along with a timetable for completion so that the students re-appraise their work effort and approach, enabling them to submit a thesis within the prescribed time frame for a doctoral degree with the support of the respective department.

2.2 Organization of Courses

- (a) Courses shall be conducted through lectures, tutorials, discussions, seminars, demonstrations, practical work in laboratories, fieldwork and other methods of instruction, as approved by the Academic Council from time to time on the recommendations of BASAR.
- (b) Courses shall be taught by the University teachers or such other persons as may be declared to be "Teachers" by the University.

- (c) Only approved courses by the relevant authorities shall be offered.
- (d) The medium of instruction shall be English.
- (e) Courses for Ph.D. shall be taught only by teachers having a Ph.D. degree in the relevant field.
- (f) A student must pass all the courses with at least the minimum prescribed criteria, through prescribed evaluation tools.

2.3 Courses of Study

The Scheme of Studies/courses of study and syllabi for MS/Ph.D. degree programs shall be submitted by the respective Boards of Studies and Boards of Faculties to the Academic Council through BASAR for approval as outlined in the University Act. These courses of study shall only be offered from the approved Schemes of Studies (SoS).

2.4 Registration of Courses

- (a) Continuous registration is required for all graduate students. They can maintain graduate status each semester by paying fees and officially registering for the courses to be studied. The registration of courses must be completed within the time notified in the semester calendar. A graduate student who fails to register in any semester is not considered to be a student. However, students may apply for discontinuation of studies on the basis of medical/health difficulties or other emergent situations according to prescribed rules.
- (b) Graduate students are required to register for prescribed courses for a particular degree program, and for a particular semester, within seven days before the date of commencement of the semester. In exceptional circumstances, the Director/Incharge Campus may extend the date of registration up to two weeks from the date of commencement of the semester.
- (c) Students may register for additional non-credit courses from the applicable scheme of study or any other approved SoS as recommended by DAC. However, only passed non-credit courses shall be mentioned in the transcript separately. Courses registered as NC whether passed or failed shall not be used for computation of GPA/CGPA. The DAC shall categorically mention whether the student is required to pass NC courses to proceed with further studies or only attending the course will suffice.
- (d) The registration process shall be conducted through a paperless online system. However, in special circumstances, the registration process may be completed through written request.
- (e) Graduate students may change courses or convert credit courses into non-credit courses, or vice-versa, within 2 weeks from the date of commencement of a semester on the recommendations of the teachers concerned and the approval of HoD/Secretary DAC. No registration or change of courses shall be allowed after two weeks from the date of commencement of a semester.

- (f) Students may be allowed to drop any registered course within four weeks of the commencement of the semester. However, a student may withdraw from a course provided that an application is submitted for the withdrawal at least one week prior to the start of the Mid-Term examination.
- (g) In either case, when a course is dropped or when a student withdraws from it, there will be no academic penalty. However, in the latter case, a mention in the transcript shall be made with the notation of 'W'.
- (h) An MS student may register 6 credit hours and a Ph.D. student may register 9 credit hours of Thesis Research along with coursework after completing a minimum of 12 credit hours of coursework provided that the maximum permissible credit hour limit for the semester does not exceed. However, the student must register for Thesis Research in each semester after completion of the course work to maintain enrolled student status throughout the duration of studies.

2.5 Departmental Advisory Committee (DAC)

The Departmental Advisory Committee shall be responsible to oversee the graduate study programs of the department and shall normally comprise the following members:

(i)	Chairperson/Head of the Department	(Convener)
(ii)	Five or more senior faculty members of the depart-	(Members)
	ment or allied departments at a campus.	
(iii)	Departmental Graduate Coordinator (Ph.D. Faculty	(Secretary)
	Member Assigned the respective duties)	

The DAC of each academic department is to be appointed by the Dean of the respective Faculty on the recommendation of the Chair/HoD and shall be notified by the Academic Section or an assigned section/office of the respective campus.

(The Terms of Reference of the Departmental Advisory Committee have been included in Appendix-C.1 (p. 113))

2.6 Duration of Study

2.6.1 MS Degree

- (a) The duration of studies for MS degree programs shall normally be not less than two years and not more than three years.
- (b) The Dean of the respective faculty, on the recommendations of the Supervisory Committee/Departmental Advisory Committee (DAC), may allow an extension in the time limit for a maximum of two semesters/one year beyond the three years for completion of the MS degree to the students admitted in Spring 2019 and onward. The student must apply on the prescribed form for such an extension. A copy of the progress report of the last attended (one) semester shall be attached with the extension request.

(c) An MS equivalent program may be offered with a different duration of study from the standardized requirements defined above. Such program(s) shall be governed separately under notified regulations.

2.6.2 Ph.D. Degree

- (a) The duration of studies for Ph.D. degree shall normally be not less than three years and not more than five years.
- (b) The Dean of the respective faculty, on the recommendations of the Supervisory Committee/Graduate Advisory Committee, may allow an extension in the time limit for a maximum of four semesters/two years beyond the time period of 05 years for completion of the Ph.D. However, the maximum time for the award of Ph.D. degree shall be 8 years for students admitted in Spring 2019 and onward. The student must apply on the prescribed form for such an extension. A copy of the progress report of the last attended (one) semester shall be attached with the extension request.
- (c) In case of unavailability of Ph.D. supervisor due to leave etc. or change of supervisor, if a Ph.D. student is unable to complete his/her degree requirements, within the extended period of time for completion of his/her degree, an extension of up to two months may be granted for submission of the thesis by the Dean of respective faculty. However, in case of unavailability of Ph.D. supervisor due to leave etc. the co-supervisor and other members of the supervisory committee are responsible to ensure the continuity of the student's research.

2.7 Course Work Requirement

2.7.1 MS Degree

- (a) An MS student shall complete a minimum of 30 credit hours workload for an MS degree, out of which he/she has to undertake a minimum of 24 credit hours of coursework from the relevant Scheme of Studies and 06 credit hours of the research thesis.
- (b) An MS student can register a minimum of 03 credit hours and a maximum of 12 credit hours in a semester.
- (c) An MS student may register 6 credit hours of Thesis Research along with coursework after completing a minimum of 12 credit hours of coursework provided that the maximum permissible credit hour limit for the semester does not exceed. However, in each semester the student must register for Thesis Research after completion of the course work to maintain the enrollment status throughout the duration of the study.
- (d) If the scheme of courses completed by a candidate for his/her last degree in the relevant field does not provide adequate background for the MS Program into which he/she is seeking admission, he/she may be required to rectify the deficiency by taking one or more additional courses proposed by the Departmental Advisory Committee.
- (e) For "MS with Non-Thesis Option", the student has to undertake a minimum of 30 credit

hours of coursework from the relevant Scheme of Studies and complete a non-credit Project/Report. However, the Non-Thesis option is only for those MS programs which have specifically been approved by BASAR and the Academic Council of CUI.

2.7.2 Ph.D. Degree

- (a) A Ph.D. student is required to complete 18 credit hours of graduate-level coursework preferably in the first two semesters. However, the student may be given an exemption of up to two courses by BASAR on the recommendation of the student's supervisory committee
- (b) A Ph.D. student can register a minimum of 03 and a maximum of 12 credit hours in a semester.
- (c) After completion of the course work the student is eligible to appear in the Comprehensive Examination.
- (d) A Ph.D. student may register 9 credit hours of Thesis Research along with coursework after completing a minimum of 12 credit hours of coursework provided that the maximum permissible credit hour limit for the semester does not exceed. However, in each semester the student must register for Thesis Research after completion of the course work to maintain the enrollment status throughout the duration of the study.
- (e) If the scheme of courses completed by a candidate for his/her last degree in the relevant field does not provide adequate background for the Ph.D. Program into which he/she is seeking admission, he/she may be required to rectify the deficiency by taking one or more additional non-credit courses proposed by the Departmental Advisory Committee.
- (f) If a Ph.D. student wants to register for a course with a prerequisite which the student has already passed in his/her MS program at CUI, then he/she will be exempted from registration for that pre-requisite course.
- (g) The Ph.D. students shall not be allowed to register/ study the courses which they have already studied and passed in their MS program at CUI and must study other approved course(s) from the applicable scheme of study to complete the coursework requirements.

2.8 Examinations for Course Work

- (a) Students are evaluated in each course on the basis of tests, classroom assignments, quizzes, practical work in the laboratories, mid-term and terminal examinations. The marks distribution shall be as follows:
 - ExaminationMarksMid-Term Examination25%Quizzes/Assignments25%Terminal Examination50%
 - (a) Courses with Theory

(b) Courses with Theory and Lab*

Examination	Marks
Mid-Term Examination	25%
Quizzes/Assignments	25%
Terminal Examination	50%
Lab Mid-Term Examination	25%
Lab Assignments	25%
Lab Terminal Examination	50%

* The student shall have to pass separately in theory and in lab work. Failure in any one, theory or lab shall result in failure in the course.

- (b) The terminal examination shall be held at the end of each semester on the notified dates. Students are eligible to appear in the terminal examination provided:
 - (i) They have paid all applicable fees/charges and have been on the rolls of the campus during that semester.
 - (ii) They have registered for the courses of study and have attended 80% of the classes, lectures, practicals and laboratory work delivered in each course. The chairperson/HoD of relevant departments may on the recommendations of the teacher of the course condone the deficiency in attendance up to 10% of the total lectures, seminars, practical and laboratory work subject to the approval of the director/Incharge of the respective campus.
 - (iii) The students falling short of the required percentage of attendance in lectures, seminars, practical and laboratory work, etc., shall not be allowed to appear in the terminal examination of the course concerned and shall be awarded an F grade.

2.9 Results

The teachers, after having shown the graded answer books to the students within timeframe set by them (No request shall be entertained upon lapse of time set by teacher), shall send the same along with award lists to respective Heads of Departments, who shall forward the same to the examination section under their signatures. The examination section after receipt of the complete verified award lists shall notify the consolidated results accordingly. Answer books of terminal examinations shall be stored for one year, after which these shall be destroyed.

2.10 Grading

The minimum pass marks in a course shall be 60% or a C grade for students admitted before Fall 2021 and shall be awarded grades in accordance with the previous grading policy. The minimum pass marks for students admitted in or after Fall 2021 shall be 50% or a D grade.

The correspondence between letter grades, grade points, and percentage marks for the students admitted in or after fall 2021 shall be as follows:

Letter Grade	Grade Points	*Percentage Obtained in a Semester System
A	4.00	85 & above
A-	3.66	80-84
B+	3.33	75–79
В	3.00	71-74
B-	2.66	68–70
C+	2.33	64-67
С	2.00	61–63
C-	1.66	58-60
D+	1.30	54-57
D	1.00	50–53
F	0.00	Below 50

* When rounding off a decimal number to the nearest whole number, the tenths digit is checked whether it is above or below 5. If the tenth digit is equal to or above 5, the number is rounded up and if the tenth digit is below 5, the number is rounded down. For example, 70.5 or 70.6 shall be rounded off to 71 and 70.4 shall be rounded off to 70.

2.11 Rechecking/Re-evaluation of Answer Books

On payment of the prescribed fee, a student may apply for rechecking/re-totalling of his/her answer book within 10 days of the notification of semester result by the respective campus. The rechecking/re-totalling shall be carried out by the teacher concerned and an officer of the examination section to ensure totalling and verification that all questions or parts thereof have been fully marked. Re-evaluation of the paper, however, shall not be allowed. Rechecking and revaluation of the laboratory/ practical / viva voce examination shall not be allowed.

2.12 Make-up Examinations

On payment of the fee if any, a student may apply for a makeup of examination.

2.12.1 Mid Term Examination

The Head of the Department may allow the makeup of mid-term examinations under special circumstances only if the student's application is received within one week from the last scheduled date of the midterm examination.

2.12.2 Terminal Examination

A student may apply for the maFkeup of terminal examination subject to the following conditions:

- (a) The reasons for missing the paper are beyond the control of the student.
- (b) the student has secured minimum 60% cumulative marks of the total pre-terminal marks in the subject for which makeup is requested.

(c) The application along with documentary proof (if any) is submitted within two working days from the last scheduled date of terminal examination.

The applications for the makeup of terminal examination shall be dealt with following the prescribed procedure separately notified from time to time.

The make-up of a terminal examination shall be allowed only after the recommendations of the committee constituted for this purpose at the campus in accordance with prescribed examination regulations and policies.

2.13 Amanuensis

The procedure and practice of the examination of CUI provide an opportunity to appear in examinations to such students who suffer from temporary disability during some part of their studies under the prescribed University policy.

2.14 Use of Unfair Means

The use of unfair means in examinations shall be dealt with under the prescribed regulations relating to the use of unfair means and academic dishonesty in examinations.

2.15 Plagiarism Policy

The plagiarism policy of the University shall be applicable to graduate students.

3 Research Requirements for MS Degree

3.1 Approval of Research Proposal (Synopsis)

- (a) The student shall submit a synopsis or research proposal, in consultation with the supervisor on the advice of the Departmental Advisory Committee.
- (b) Preferably, the Synopsis of MS students should be approved within the first four (4) semesters from the date of first registration. However, in exceptional circumstances, the respective Departmental Advisory Committee may grant a maximum of two-semesters relaxation upon the recommendation of the supervisor or Supervisory Committee. In case of failure of Synopsis approval in maximum permissible time duration, the admission of student shall stands cancelled without any notice.
- (c) The synopsis shall be approved by the respective Dean of Faculty, on the prescribed proforma, based on the recommendations from the following:
 - (i) Respective Research supervisor
 - (ii) Members of the Supervisory Committee (if any)
 - (iii) Departmental Advisory Committee
- (d) The office of the Registrar shall issue the notification of the approval of the MS synopsis and shall be presented to the Board of Advanced Studies and Research for information.
- (e) The area of specialization shall be notified along with the title of the MS synopsis of the respective students, where applicable. The area of specialization shall be mentioned in the transcript of the Student only if the area of specialization has been approved and mentioned in the notified Scheme of Studies in the respective MS program.
- (f) The convener DAC (HoD/Chairperson) shall provide the "Turnitin Similarity Report" for the approval of MS synopsis and the respective Dean shall approve the synopsis on the basis of the "Turnitin Similarity Report" to ensure the quality and to avoid plagiarism from the research at CUI. The similarity percentage must be within the upper limit as per HEC policy

3.2 Requirements for MS Thesis

The scope of the thesis shall be what might be reasonably expected after six months to one year's full-time research work. The thesis shall:

- (a) Consist of the candidate's own account of his/her investigations, the greater portion of which shall have been undertaken during the period of registration under supervision for the degree.
- (b) Have a record of original work or of an ordered and critical exposition of the existing knowledge and shall provide evidence that the field has been surveyed adequately.
- (c) Be an integrated whole and present a coherent argument; (a series of papers, whether published or otherwise, is not acceptable for submission as a thesis). The student is free to publish material in advance of the thesis, but reference must be made in the thesis to any such work. Copies of published material should not be included in the body of the thesis but may be adapted to form an integral part of the thesis and thereby make a relevant contribution to the main theme of the thesis.
- (d) Give a critical assessment of the relevant literature, describe the method of research and its findings and demonstrate a deep understanding of the field of study, objectivity, and the capacity for judgment in complex situations and autonomous work in that field.
- (e) Be written in the English language and the literary presentation must be satisfactory.
- (f) Include a full bibliography and references.
- (g) The thesis should be written in font size 12 (Times New Roman or equivalent) with a line spacing of 1.5. In general, the thesis may be between 40 and 120 pages excluding backmatter (i.e. title pages, acknowledgement, table of contents etc. with Roman page numbers), references and appendices.

Any other requirements specified by the Higher Education Commission (HEC) regarding thesis writing duly notified by the Registrar Office after approval of the relevant statutory bodies of CUI.shall be followed.

(h) The last date for submission of an MS thesis for evaluation shall be the last day of scheduled classes of the Spring/Fall semester.

3.2.1 Submission of MS Thesis

For an MS. thesis, the student is required to submit it in standard format as per the manual (Appendix B.3 (p. 86)) within two years (four semesters) from the date of first registration. However, the submission shall not be later than three years (six semesters) from the date of first registration. In case the extension in duration of studies beyond three years is approved, the student is allowed to submit the thesis in that semester. However, the thesis must be completed within the maximum duration allowed for the degree.

3.3 Supervisor, Co-supervisor and Supervisory Committee

- (a) The appointment of supervisor/co-supervisor will be approved by the respective Dean of the faculty, on the recommendation of the Departmental Advisory Committee preferably in the Second semester of the first registration. A co-supervisor, however, will only be appointed wherever essentially warranted. The notification of the supervisor /co-supervisor shall be issued by the Registrar, CUI.
- (b) The supervisor shall be from CUI (preferably from the same campus where the student is enrolled). However, a co-supervisor may be appointed from outside the CUI.
- (c) An adjunct faculty of CUI may be appointed as a co-supervisor by BASAR.
- (d) The supervisor must hold a Ph.D. degree in the relevant field.

3.3.1 Students' Progress Reports

- (a) During the minimum normal time period of study (i.e. first four semesters) a progress report is not required. However, the research supervisor shall submit the semester progress of the student to the HoD or Secretary DAC to be reviewed by the DAC in the following cases:
 - (i) If the student is registered in an extra semester (i.e. fifth semester and onward).
 - (ii) If the student's progress is found unsatisfactory in any semester whatsoever.
- (b) In each semester the secretary DAC and HoD shall forward the list of students registered in the thesis indicating that their thesis result may be declared in progress (IP) to the campus examination section except for those students who have accumulated two adverse reports or have submitted the thesis for evaluation.
- (c) In case of unsatisfactory progress, the research supervisor may submit an adverse progress report of the student to the Head of the department to be reviewed by the DAC. The Secretary of the DAC shall issue a warning letter to the student which shall become a part of the student's enrollment record. However, the thesis shall be awarded in-progress (IP) status and the result may be forwarded to the campus examination section.
- (d) In case of accumulation of two adverse reports during the whole study period, on the recommendation of DAC the Dean shall approve the cancellation of the student's admission. The Office of the Registrar shall notify the cancellation of admission and present the same to the BASAR for information. The result of the thesis shall be declared as Failed.

3.4 Examination

- (a) An MS thesis may be submitted for external examination after a successful internal thesis defence duly approved by the supervisor, the supervisory committee / DAC.
- (b) The last date for the submission of the MS thesis shall be the last day of classes as per the notified semester schedule. If a student fails to submit the thesis within the given deadline,

the student shall be required to register in the next semester, subject to the condition that the maximum permissible time for the completion of the degree is not exhausted.

- (c) In case a student does not submit the thesis within the maximum permissible time for the completion of the degree, the result of the thesis shall be reported by the research supervisor as "failed" through the respective HoD and shall be declared accordingly by the Examination Section.
- (d) The MS thesis, after submission, shall be defended by the student before the evaluation committee comprising the research supervisor, chairman/HOD and at least one external examiner of the relevant field, who should be on the approved list of external examiners notified by the Registrar CUI on the recommendations of BoS, BoF, and Academic Council. In case of the non-availability of supervisor, another member of the supervisory committee (if any) may be considered as a member of the evaluation committee.
- (e) The examiners shall assess the student's performance, identifying both the strengths and weaknesses. The written thesis shall be graded as approved ("in present form" or "with minor revision"), "deferred" or "not acceptable".
- (f) If the thesis is approved with "minor revision", the supervisor shall be responsible for ensuring that these revisions are incorporated. The supervisor and HoD must submit a certificate confirming the incorporation of revisions.
- (g) If the thesis is graded as "deferred" (i.e. requires major revision), the student shall be required to register the thesis in next semester along with fulfillment of registration requirements and resubmit the thesis within a specified time (i.e. 1 or 2 semesters), provided that the maximum permissible time for the completion of the MS degree is not exhausted otherwise the thesis shall be considered as "terminally failed". In case of a deferred grade, the revised thesis shall be defended by the student before the examination committee again.
- (h) If the submitted thesis is graded as not acceptable (i.e., unsatisfactory), the student shall be considered to have failed. In the case of failure, the committee shall recommend research on a different topic to be undertaken, or the candidate has terminally failed.
- (i) The examining committee shall record its decision for every student examined, which shall be signed by all members of the committee.
- (j) The evaluation of the MS Thesis shall commence immediately after submission and must conclude before the declaration of the respective semester result. In extraordinary circumstances, the evaluation of the MS thesis may be concluded after the notified date of the semester results at campus up to ten days prior to the issuance of the Final Result Notification by the Controller of Examination.

3.5 Communication of Thesis Evaluation Result

Following the decision of the examining committee, the Chair/HoD may call the candidate and present the decision orally. The Head of the Department or Chair may also communicate the

result to the candidate in writing stating the decision and summarizing the strength and weaknesses of the performance and outlining any course of required action.

3.6 Notification of Results of Examination

Result of MS student shall be issued by the Examination Section of the respective campus at the end of each semester, as per communication of the supervisor, Chair/Head of the Department.

3.7 Award of Degree

The MS degree shall be awarded to the student after fulfilling all the requirements for the award of the degree, including submission of a final copy of the thesis along with a "Certificate of Originality", plagiarism Report, securing 2.5/4.0 CGPA and any other requirements notified from time to time by the relevant authorities.

4 Research Requirements for Ph.D. Degree

4.1 Student's Research Supervisor, Co-supervisor and members of the supervisory committee

- (a) The appointment of supervisor and co-supervisor along with members of the supervisory committee shall be approved by the respective Dean of the faculty, on the recommendations of the Departmental Advisory Committee preferably in Second semester of the first registration. A co-supervisor, however, will only be appointed wherever essentially warranted. The notification of the appointment of supervisor/co-supervisor/members shall be issued by the office of the Registrar.
- (b) The supervisor will be from CUI, preferably from the campus where the student is enrolled. However, a co-supervisor can be appointed from outside the CUI.
- (c) An adjunct faculty of CUI can be appointed as a co-supervisor by BASAR.
- (d) The supervisor must hold a Ph.D. degree in the relevant field, should not be below the rank of an Assistant Professor and must be HEC-approved supervisor.
- (e) A joint supervisor may be appointed for a Ph.D. student, subject to the justification of joint supervision by the respective Dean, in joint doctoral degree programs at CUI.

4.1.1 Students' Progress Reports

- (a) The research supervisor shall submit the semester-wise progress of the student in which the thesis is registered to the HoD or Secretary DAC to be reviewed by the DAC in each semester.
- (b) In each semester the secretary DAC and HoD shall forward the list of students registered in the thesis indicating that their thesis result may be declared in progress (IP) to the campus examination section except for those students who have two consecutive or three accumulated adverse reports during the whole study period.
- (c) In case of unsatisfactory progress, the research supervisor may submit an adverse progress report of the student to the Head of the department to be reviewed by the DAC. The Secretary of the DAC shall issue a warning letter to the student which shall become a part of the

student's enrollment record. However, the thesis shall be awarded in-progress (IP) status and the result may be forwarded to the campus examination section.

(d) In case of two consecutive or three accumulated adverse reports during the whole study period, on the recommendation of DAC, the Dean shall approve the cancellation of the student's admission. The Office of the Registrar shall notify the cancellation of admission and present the same to the BASAR for information. The result of the thesis shall be declared as Failed.

4.2 Supervisory Committee

(a) The Supervisory Committee shall advise on matters related to a student's research. It shall normally comprise the following:

(Convener)

(Members)

- (i) Research Supervisor of the student
- (ii) Two faculty members of the department concerned and if necessary a third member from the same or an allied department
- (b) The Dean shall approve the Supervisory Committee of the Faculty on the recommendation of the DAC. However, the Office of the Registrar shall issue the notification of the Supervisory Committee's constitution.
- (c) A co-supervisor from the department or outside the department, campus or University may be included in the Supervisory Committee if deemed necessary.

4.3 Comprehensive Examination

- (a) After completing the coursework requirement, the student must pass a comprehensive examination consisting of two written papers. The examination must be passed within the first 02 years of admission into a Ph.D. program.
- (b) The student must apply for the comprehensive exam on the prescribed form through the supervisor to the Convener DAC (Chair/HoD).
- (c) To pass a comprehensive examination a student must obtain at least 60% marks in each written paper.
- (d) As per HEC Policy, a student shall have a maximum of two attempts to pass the comprehensive examination. If a student fails to qualify on the first attempt, he/she shall be eligible to appear once again in the paper(s) in which he/she was fail. The student must, however, reappear in the comprehensive examination which shall not be earlier than 45 days and not later than six months from the date of declaration of the result of the examination, provided that the maximum permissible time of two years for passing the comprehensive examination (as specified in clause (a) above) is not exhausted.
- (e) A student who has passed the comprehensive examination shall be deemed to have become a Ph.D. candidate.

- (f) A student who fails to clear a comprehensive examination within two attempts or the maximum prescribed time shall not be eligible for Ph.D. candidature and his admission shall stand cancelled.
- (g) The department shall make the arrangements for the Comprehensive examination. The Head of the Department, in consultation with the Departmental Advisory Committee, is responsible for forming/notifying the Comprehensive Examination Committee consisting of at least three senior teachers from the concerned/allied departments.
- (h) The Comprehensive Examination Committee shall be responsible for the preparation of question papers, and the conduction and transmission of results to the Examinations Department of the campus for formal notification.
- (i) Secretary DAC shall also be the Secretary of the comprehensive examination committee.

4.4 Research Proposal (Synopsis)

The Ph.D. student shall work under the guidance of the supervisor and supervisory committee during the first year after coursework to write his/her research proposal. However, it may take longer to do so as the research proposal can only be submitted for approval after fulfilling the coursework requirements and passing the comprehensive examination.

Synopsis of Ph.D. must be provisionally approved within six (6) semesters from the date of their first registration. In exceptional circumstances, the respective Departmental Advisory Committee may grant a maximum of two-semesters relaxation upon the recommendation of the supervisor and Supervisory Committee. In case of failure of Synopsis approval in maximum permissible time duration, the admission of student shall stand cancelled without any notice.

- (a) The synopsis shall be provisionally approved by the respective Dean of Faculty, on the 'Form for approval of Synopsis' based on the recommendations from the following:
 - (i) Respective Research Supervisor.
 - (ii) Members of the Supervisory Committee
 - (iii) Departmental Advisory Committee.
- (b) The office of the Registrar shall issue the notification of the provisional approval of the Ph.D. synopsis.
- (c) The notification of the provisionally approved Ph.D. synopsis shall be formally presented to the Board of Advanced Studies and Research for ratification.
- (d) The area of specialization shall be notified along with the Ph.D. synopsis title of the respective students, where applicable. The area of specialization shall be mentioned in the transcript of Ph.D. Students only if the area of specialization has been approved and mentioned in the notified Scheme of Studies in the respective Ph.D. program.
- (e) The "Similarity Report" shall be provided by the convener DAC (Chair/HoD) for the approval of Ph.D. synopsis and the respective Dean shall provisionally approve the synopsis

on the basis of an acceptable "Similarity Report" to ensure the quality and to avoid plagiarism.

(f) The proposal may be reinforced by specific work schedules submitted at the time of the proposal, and if necessary revised schedules may be included in the following year-end reports. These planning tools will be exercised to ensure that the proposed research is feasible for both the student and the supervisor.

4.5 Requirements of Thesis

The scope of the thesis shall be what might reasonably be expected in three years of full-time research. The thesis shall:

- (a) Consisting of the candidate's own account of his/her investigations, the greater portion of the research shall have been undertaken during the period of registration for the degree.
- (b) Form a distinct contribution to the knowledge of the subject and afford evidence of originality by the discovery of new facts and/or by the exercise of individual critical power.
- (c) Be an integrated whole and present a coherent argument (a series of papers, whether published or otherwise, is not acceptable for submission as a thesis).
- (d) Give a critical assessment of the relevant literature, describe the method of research and its findings, and demonstrate a deep understanding of the field of study, objectivity and the capacity for judgment in complex situations and autonomous work in that field.
- (e) Include full bibliography and references.
- (f) Be written in Academic English language and the literary presentation must be satisfactory.
- (g) Be of standard research to merit one or more publications in an HEC-approved journal in the relevant field.
- (h) The thesis should be written in font size 12 (Times New Roman or an equivalent font in other computer systems) with a line spacing of 1.5. In general, the thesis may be at least 100 pages long excluding backmatter (i.e. title pages, acknowledgement, table of contents etc. with Roman page numbers), references and appendices.
- (i) Include standardized initial pages in Ph.D. thesis as per HEC requirements, which include author declaration, plagiarism-free certificate/undertaking by the Ph.D. student, Supervisor and Certificate of Approval of Ph.D. thesis or any additional requirements specified by HEC duly notified by the Registrar office after approval of the relevant statutory bodies of CUI. shall also be followed in relation to the thesis.

4.5.1 Submission of Ph.D. Thesis

The student must submit Ph.D. thesis in the standard format (Appendix B.2 (p. 59)) within five years (ten semesters) from the date of first registration. However, the submission shall not be later than six years (twelve semesters) from the date of first registration. In case the extension

in duration of studies beyond six years is approved, the student is allowed to submit the thesis in that semester. In case of failure in thesis submission for foreign evaluation in the maximum permissible time duration i.e. seven years (14 semesters), the admission of the student shall stands cancelled without any notice. However, the final Hard Bound thesis after the successful completion of the oral defence must be completed within the maximum duration allowed for the Ph.D. program.

4.6 Pre-Ph.D. Seminar

The student shall deliver a seminar on his/her research findings towards the end of his/her research work.

- (a) It shall be an open seminar, open to the teaching/research staff of the department/Faculty and should preferably be held before starting the thesis write-up.
- (b) The duration of the seminar shall normally be not less than 45 minutes.
- (c) The seminar must be conducted after synopsis notification and shall preferably be conducted after 2.5 years (5 semesters) from the date of first registration in Ph.D. program. However, in case a student intends to submit his/her thesis in a semester, the seminar must be conducted three months prior to the commencement of next semester.
- (d) The notification for the successful conduction of the seminar shall be issued by the HoD/Chairman.

4.7 Submission and Evaluation of Thesis

Submission of thesis for evaluation shall be allowed only if at least one journal article by the Ph.D. student is published in an HEC-recognized journal of acceptable category or the Digital Object Identifier (DOI) is allocated to the article by the publisher. In extraordinary situations, the condition of DoI may be relaxed for submission of the thesis, on the recommendation of the supervisor and HoD/Chairperson, if an acceptance letter is available. However, the degree/transcript will only be awarded after the actual publication of required research paper(s) by the Ph.D. student in HEC-recognized journal of acceptable category within the maximum permissible duration of the Ph.D. program.

4.7.1 Foreign Evaluation

- (a) The student, who has completed all the requirements for writing up the thesis, shall be allowed to submit two spiral bound copies along with soft copies (machine readable PDF file of the thesis on CD/DVD) of the thesis to the Department for foreign evaluation.
- (b) The last date for the submission of the Ph.D. thesis shall be one week before the start/commencement of the following semester.
- (c) The Head of the Department after ensuring in consultation with the DAC that the thesis is of an acceptable standard shall forward two copies of the thesis along with other required documents (i.e plagiarism report) to the Controller Examination, along with the names

of five or more Foreign External Examiners through the respective campus examination section.

- (d) The Controller of Examinations, after obtaining approval of the Rector, shall forward the thesis to the foreign examiners for evaluation. The Ph.D. thesis shall be sent for evaluation to two External Examiners (Foreign). If needed, the thesis may be sent to an additional third Foreign Examiner. However, the honorarium of the third examiner shall be paid by the student.
- (e) Foreign Examiner(s) shall be from Technologically / Academically Advance Countries as per HEC approved list. He/She must hold a Ph.D. degree in the relevant field and should not be below the rank of Associate Professor or equivalent.
- (f) Persons who have their names in publication(s) or are involved in the research supervision of a particular student cannot be considered as foreign evaluators for the thesis of such student.
- (g) Each external examiner shall clearly state in the evaluation report whether or not the thesis is of sufficient merit to justify the award of a Ph.D. degree, or whether the student should revise the thesis on the suggested lines and shall also state whether or not he/she wants to go through the revised thesis.
- (h) Two positive reports on the standard of the thesis shall be considered adequate for the award of the degree.
- (i) If an examiner suggests revisions in the thesis, the student shall be required to submit the revised version within the time specified by the report review committee (but within the maximum duration of Ph.D. degree i.e. 8 years). The revised version shall be approved, preferably by the same examiner(s) who had originally suggested the changes. If the external examiner is not available or does not reply within one to two months, the revisions may be approved by a committee constituted to review the foreign examiners' reports. In case of minor revision, the thesis need not be sent abroad again.

The criteria for foreign evaluation, foreign examiners, or external examiners for the Ph.D. thesis shall be as per the requirements set by the Higher Education Commission (HEC) or any amendments made to those requirements from time to time by HEC and duly notified by the Registrar office after approval of the relevant statutory bodies of CUI.

4.7.2 Local Evaluation of Thesis

- (a) After foreign evaluation, the reports of the foreign external examiners shall be evaluated by a committee comprising:
 - (i) The respective Dean
 - (ii) Chairperson of the respective department
 - (iii) Head of the respective department
 - (iv) The respective Supervisor

- (b) Further, the processing of the thesis will be on the recommendation of the above committee. The negative report(s) of the external examiner(s) on the thesis of a Ph.D. student, if any, will be duly considered by Ph.D. Thesis Review Committee and shall be addressed properly by the Ph.D. student in consultation with the supervisor. Moreover, the blind review policy, instead of sharing the evaluation reports of the external examiners, will be followed at CUI. However, in order to satisfy HEC requirements, original reports may be submitted at the time of oral defence. The criteria for local evaluation, local examiners, or external examiners for the Ph.D. thesis shall be as per the requirements set by the Higher Education Commission (HEC) and any amendments made to those requirements from time to time by HEC and duly notified by the Registrar office after approval of the relevant statutory bodies of CUI.
- (c) The student shall register in the semester in which the revised thesis is submitted for Oral Defence. This registration is mandatory to maintain the regular status of the student. However:
 - (i) after submission of the evaluation report of the committee, this registration shall not affect the total duration for completion of Ph.D. degree.
 - (ii) only the registration fee shall be applicable for this semester.
 - (iii) This registration shall be allowed at any stage of the academic semester without any late fee charges.
 - (iv) the routine "extension in the duration of study" shall not be required for this registration.
- (d) The Head of the Department, after ensuring that the changes suggested by the foreign examiners and the committee have been incorporated in the thesis, shall forward 3-6 copies of the thesis to the Controller Examination, along with names of five or more External Examiners (local) to whom the thesis may be sent for local evaluation through the campus examination section.
- (e) The CUI's employees who left the university during the past two years should not be considered for appointment as external examiners.
- (f) Persons who have their names in publication(s) or are involved in the research supervision of a particular student cannot be considered evaluators for the thesis of such student.
 (Notification no. 96 dated 20/1/2015.)
- (g) The name, designation, institute, address, area of specialization and experience need to be ensured by the department before recommending a list of external examiners.
- (h) The Ph.D. thesis shall be sent by the Controller of Examinations for evaluation to two External Examiners (local) after obtaining the approval of the Rector.
- (i) If the thesis is evaluated as adequate, the student shall be allowed to appear for the oral examination to defend his/her thesis before a panel of examiners.

4.8 Open House Oral Defence

4.8.1 Oral Examination Panel

The candidate shall defend the thesis before a panel of at least four examiners consisting of the following members:

(i)	Dean of the faculty	(Convener)
(ii)	Chairperson of the department	(Member)
(iii)	Two external examiners (mandatory)	(Members)
(iv)	Students' research supervisor	(Member)
(v)	Head of the department	(Secretary)

- (a) In case of the non-availability of the Dean of the concerned Faculty at the time of Oral Defence, the Chairperson will act as Convener of the above-said committee.
- (b) In case of non-availability of the Chairperson of the Department to act as the Convener or holds two positions in the committee, the respective Dean shall nominate the senior most Professor/Associate Professor of the concerned Department (preferably at the respective campus) to act as a Convener.
- (c) If one committee member has more than two positions in the committee, the extra position shall be filled in by a senior faculty member of the concerned department (preferably at the respective campus) to be nominated by the Dean of faculty.
- (d) It is the Convener's responsibility to ensure that the examination is conducted in an orderly and constructive manner, i.e., questions should probe the knowledge of the candidate in sufficient depth.
- (e) In case of non-availability of concerned students' research supervisor due to ex-Pakistan leave or other reasons the co-supervisor will act as supervisor. In case of non-availability of co-supervisor the respective Dean shall nominate the senior professor of the respective domain/department to act as supervisor of the student for the oral defence of the student.
- (f) The Oral examination shall be open to the public, but evaluation shall be done by the panel of examiners only.

4.9 Assessment and Grading

- (a) **Oral Examination:** After the question-answer session, the examiners shall assess the student's performance, identifying both the strengths and weaknesses. A grade of pass/fail shall be awarded on the student's oral performance.
 - (i) Pass: A unanimous decision for a pass is encouraged; however, in case of dissent, the decision shall be taken by a majority vote. When the Chair (convener) writes the letter stating the decision, it will be recorded that there was dissent in the panel. The identity of the person(s) who voted for failure shall not be disclosed in these letters but shall be communicated separately to the Controller of Examination. In the case of

a majority vote for failure, official communication shall only indicate that the student has failed, without reference to any split in the vote.

(ii) **Fail:** If the student fails to satisfy the examiners in the oral defence, the student shall be considered as "fail in the examination".

In the event of a failure in the oral examination, one repeat shall be allowed. The repeat of the oral examination may occur within three months but not later than one year after the first examination subject to the condition that the maximum duration for completion of Ph.D. is not exhausted.

In the event of a second failure in the Oral Examination, a grade 'F' shall be reported to the Office of the Controller of Examinations.

- (b) **Written Thesis Evaluation:** The written thesis shall also be graded as approved, deferred or not acceptable as defined below:
 - (i) **Approved:** If the examining committee finds the written thesis to be satisfactory and in accordance with the required standards, it will be graded as approved.
 - (ii) Deferred: If the examining committee recommends minor changes to the written thesis, the time period must be specified for re-submission after the incorporation of suggested changes. The supervisor shall be responsible for ensuring that these revisions are incorporated into the thesis. A certificate of incorporation of changes shall be submitted by the student, supervisor, HoD and chairperson.
 - (iii) The revised thesis shall be submitted in the given time period along with the certificate of incorporation of changes and other required documents. The controller of examinations shall forward the revised thesis to the same examiners for their review and final decision on the revised thesis.
 - (iv) **Not acceptable:** If the written thesis is graded as non-acceptable (i.e., requires major revision), the student shall be considered to have failed.

4.10 Communication of Thesis Evaluation Result

Following the decision of the examining committee, the Convener / Chairperson or Head of Department (HoD) may personally inform the candidate about the decision orally. They will communicate the result of the thesis evaluation to the candidate in person

Convener / Chairperson or Head of Department may provide a written communication to the candidate, stating the decision of the examining committee. This written communication will summarize the strengths and weaknesses of the candidate's performance and outline any necessary actions or revisions that are required. In case of Ph.D., the copies of such communication shall be forwarded to the examining committee as well as the Controller of Examinations

In either case, the candidate will be informed of the decision and provided with feedback on their performance in the thesis evaluation.

4.11 Procedures for Handling Allegations of Dishonest Conduct

The CUI has comprehensive regulations and procedures for dealing with allegations of plagiarism, collusion or any other form of dishonest conduct, which applies to all students including research degree candidates.

These regulations ensure that any instances of academic misconduct are properly investigated, and appropriate actions are taken. Plagiarism, collusion, and other forms of dishonest conduct are taken seriously as they undermine the integrity of academic work and research. CUI aims to maintain high academic standards and promote ethical practices among its students.

If a research degree candidate is found to have engaged in plagiarism, collusion, or any other form of academic misconduct, the university will follow the established procedures to address the issue. The candidate will be subject to disciplinary actions as per the regulations and procedures in place.

4.12 Submission of Final Bound Copies

- (a) The student shall submit two final hardbound copies (one each for the examination office and the Library) and three soft copies (one each for the examination office, the Library and HEC) of the final Ph.D. thesis.
- (b) The hard-bound copy of the thesis is not required to be forwarded to the local external examiners and HEC.

4.13 Notification of Results of Examination

Formal notification of a Ph.D. student result shall be issued by the Controller of Examinations, as per communication of the supervisor, Chair/Head of the Department.

4.14 Award of Degree

The Ph.D. degree shall be awarded to the student after fulfilling all the requirements. Ph.D. degree at CUI will only be awarded after the actual publication of at least one research article from the thesis research work of the respective student in HEC-recognized journal(s), as per HEC policy in force at that time. The current publication policy is as under but it is subject to strict adherence to the HEC policy that may be amended from time to time:

- 1. The paper should be published after synopsis approval.
- 2. The paper should have relevance to the thesis
- 3. For the sciences category, publication of at least one research paper based on the student's Ph.D. thesis in an HEC-approved "W" or "X" category journal is a requirement for the award of Ph.D degree, "Y" category journal publication is only acceptable in the case of social sciences. The specific requirements regarding journal categories may be issued by HEC guidelines approved by the Rector.

4. The review articles, erratum, corrections, letters to editors, notes, corrigendum, addendum and short communications are not counted as a research article for the award of Ph.D. degree.

In addition, the Impact Factor of the journal should be considered for the year in which the article is published.

However, if there is a variation in the category or impact factor of a journal between the submission and publication of the paper then the category or impact factor at the time of submission shall be considered.

5 Administrative Issues

5.1 Changes to a Student's Degree Objective, Major or Emphasis

A graduate student may be allowed to change the emphasis of his/her coursework by the end of the first semester. However, he/she shall have to take additional courses pertaining to the changed emphasis. The DAC may allow such changes with information to the Dean of the Faculty and the Registrar.

5.2 Limit for Supervision of Graduate Students

A supervisor can supervise a total of 12 MS/M.Phil./Ph.D. students at a time with no more than 05 of these being Ph.D. students (The mix of MS/M.Phil. and Ph.D. students should be such that the number of Ph.D. candidates does not exceed 05)

5.3 Honorarium for Supervisors

- (a) The research supervisors for MS and Ph.D. degrees may be awarded an honorarium as per CUI policy modified/revised from time to time.
- (b) However, the honorarium against HEC-sponsored Ph.D. students shall not be paid by CUI.

5.4 Honorarium for External Examiners

(a) The honorarium for external examiners (local and foreign) for MS/Ph.D. thesis evaluation shall be as per CUI policy modified/revised from time to time.

5.5 Leave of Absence

Normally, graduate programs students are expected to complete their studies without any break, however, the student may be allowed leave of absence up to a maximum of two semesters, during the entire study, on the written request (Prescribed Form) of the student duly recommended by the respective Supervisor, HoD and Chairman and approved by the Dean concerned. The office of the Registrar shall issue the notification of such leave. The general terms and conditions for leave of absence are as follows:

- (a) The graduate student shall not be allowed discontinuation of studies, in the very first semester of his/her studies. However, discontinuation may be allowed from the second semester onward under the prescribed rules.
- (b) The graduate student shall be allowed to avail discontinuation of studies for a maximum period of two semesters, throughout the entire graduate program studies.
- (c) A Ph.D. student cannot apply for a leave of absence if the grant of such leave can lead to exhaustion of the time limit for passing the comprehensive examination or completing the degree.
- (d) The registration fee shall be charged for the semester(s) during the leave of absence
- (e) Leave of Absence status shall be mentioned in the transcript and counted towards the maximum permissible time for the completion of the degree. However, this period shall not be counted in the minimum time period.
- (f) Subject to above mentioned general conditions, the following types of leave may be allowed.
 - (i) Leave of Absence applied before the start of the semester
 - (ii) Leave of Absence applied during the semester

5.5.1 Leave of Absence applied before the start of the semester

- (a) No application for discontinuation of studies will be entertained if received after the start of the semester for which leave is desired.
- (b) Semester Fees (except registration fee) for the semester(s), if already paid, shall be allowed to roll over into the next semester(s).

5.5.2 Leave of Absence during the semester

- (c) On the basis of medical/health difficulties or other emergent situations, the graduate student may be allowed discontinuation of studies for that particular semester, at the request on the prescribed form, before the start of midterm exams.
- (d) The fee for the semester(s) will be charged and shall not be allowed to roll over into the next semester(s).

5.6 Restoration of admission in case of loss of regular student status

As per graduate rules, "Continuous registration throughout the program is required for all graduate students. They must maintain regular student status, each semester, by paying fees and officially registering for courses/thesis in the semester. Graduate students who fail to register shall not be considered to be regular students and their status in the academic record shall be marked as "Absent". If a student fails to register in any semester, he/she will lose his/her regular student status. Restoration of admission is mandatory for such students to continue their studies under the following conditions.

- (a) Such a student shall have one chance during the entire study period to apply for restoration of admission before the commencement of the very next semester on submission of application on the prescribed form provided that the maximum duration for the completion of the degree is not exhausted.
- (b) The application may be approved by the Dean on the recommendation of the respective Supervisor, HoD, and Chairman. The office of the Registrar shall issue the notification of restoration.
- (c) He/she shall have to deposit the admission fee, registration fee, semester fee etc. along with his/her application form for the semester in which the restoration / readmission is requested.
- (d) Under no circumstances, a student's admission shall not be restored if he/she fails to register in 02 consecutive semesters and the admission shall stand cancelled without any notice.
- (e) The Absent period shall be counted towards the total duration of his/her studies and absent status will be reflected in his/her transcripts.
- (f) This period shall also be counted towards the maximum limit of discontinuation of studies/leave of absence.

5.7 Ph.D. Student's File Processing

- (a) The supervisor shall communicate the intention of thesis submission to the academic section of the respective campus in the first month of the semester. The student's file completed in all respects shall be transmitted/sent to the office of the registrar preferably within two months of the start of the semester in which the thesis is being submitted or two months before the commencement of the next semester.
- (b) The office of the registrar, after checking the completion of requirements, shall forward the file directly to the office of the controller of examinations.
- (c) On receipt of the file, the Office of the Controller of Examinations, after verifying the requirements, shall issue the letter for submission of the thesis.

5.8 Interpretation and Discrepancy in Graduate Rules

In case of any discrepancy regarding the graduate rules and regulations, a committee consisting of the Registrar, Dean of a respective department, and Controller of Examinations shall make a decision. However, the decision of the above committee shall be presented in the BASAR for endorsement.

Appendices

A Graduate Student-Related Forms

Appendix A.1 Application for Extension in the Duration of Studies



COMSATS University Islamabad

Application for Extension in the Duration of Studies

 $MS \square Ph.D. \square$

Student's Name:	Registration #	
Program:	Department:	
Campus:	Date of application:	
Extension requested semester:	Extension availed before? (Yes/No):	
Documents Required:		
(a) Progress report attached? (Yes / No):	(b) Copy of transcript attached (Yes / No):	
Reason for extension in studies:		
I hereby request for extension in studies for consideration under CUI rules.		

Note: Extension may be granted for a semester only.

Applicant's Signature

	Recommendations	Name & Signature
Supervisor	Recommended	Name:
	Not Recommended	Signature:
Head of the	Recommended	Name:
Department	Not Recommended	Signature:
Chairperson	Recommended	Name:
of the Department	Not Recommended	Signature:
Dean of the	Approved	Name:
Faculty	Not Approved	Signature:
Registrar CUI	Approved	Name:
	Not Approved	Signature:
Notified vide N Registrar.	Notification No	dated: by Office of

Appendix A.2 Application for Leave of Absence



COMSATS University Islamabad

Application for Leave of Absence

 $MS \square Ph.D. \square$

Student's Name:	Registration #	
Program:	Department:	
Campus:	Date of application:	
Leave requested for semester*:	Leave availed before? (Yes/No):	
Documents Required:		
(a) Fee payment receipt attached? (Yes/No):	(b) Copy of Transcript Attached (Yes/No):	
Reason for leave of absence:		
I hereby request for extension in studies for consideration under CUI rules.		

Note: Please specify the semester for which leave is requested (e.g. SP24, FA24 etc.) A leave of absence in the Ist semester is not allowed.

Applicant's Signature

	Recommendations	Name & Signature
Supervisor	Recommended	Name:
	Not Recommended	Signature:
Head of the	Recommended	Name:
Department	Not Recommended	Signature:
Chairperson	Recommended	Name:
of the Department	Not Recommended	Signature:
Dean of the	Approved	Name:
Faculty	Not Approved	Signature:
Registrar CUI	Approved	Name:
	Not Approved	Signature:
Notified vide N of Registrar.	Notification No.	Dated: by Office

Appendix A.3 Application for Rejoining After Leave



COMSATS University Islamabad Application for Rejoining After Leave of Absence

 $MS \square Ph.D.\Box$

Student's Name:	Registration #
Program:	Department:
Campus:	Date of application:
Semester to resume studies*:	Leave of Absence Semester*:
Leave of Absence Notification #:	

Note: Please specify the semester and year (e.g. SP24, FA24 etc.)

Student's Signature

	Recommendations	Name & Signature
Supervisor	Recommended	Name:
	Not Recommended	Signature:
Chairperson/	Approved	Name:
Head of Department	Not Approved	Signature:
Office of Graduate Studies /	Approved	Name:
Academic Section	Not Approved	Signature:
Remarks (if an	y)	

Appendix A.4 Application for Re-admission





COMSATS University Islamabad

Application for Readmission

 $MS \Box Ph.D.\Box$

Student's Name:	Registration #	
Program:	Department:	
Campus:	Date of application:	
Absent semester:	Semester requested for readmission:	
Fee payment of readmission and registration receipt attached? (Yes/No):		
Reason for absence:		
I hereby request for extension in studies for consideration under CUI rules.		

Note: Please specify the semester and year (e.g. SP24, FA24 etc.)

Applicant's Signature

	Recommendations	Name & Signature
Supervisor	Recommended	Name:
	Not Recommended	Signature:
Head of the	Recommended	Name:
Department	Not Recommended	Signature:
Chairperson	Recommended	Name:
of the Department	Not Recommended	Signature:
Dean of the	Approved	Name:
Faculty	Not Approved	Signature:
Registrar CUI	Approved	Name:
	Not Approved	Signature:
Notified vide N of Registrar.	Notification No	Dated: by Office

Appendix A.5 Appointment of Supervisor and Supervisory Committee

FORM: GS-05 (v1)



COMSATS University Islamabad

Appointment of Supervisor and Supervisory Committee $MS \ \Box \ Ph.D. \Box$

Student's Detail:

Student's Name:	Registration #
Program:	Department:
Campus:	Date of application:

Supervisory Committee:

S#	Name	Designation (Also specify institution if other than CUI)	Role (Supervisor, co-supervisor or member)	Signature
1			Supervisor	
2			Co-Supervisor (if any)	
3			Member	
4			Member	
5			Member	

Consent of Supervisor:

I do hereby agree to supervise the above student for his/her PhD studies.	Number of previously supervised students, who have graduated	MS	
		PhD	
	Number of students currently under supervision	MS	
		PhD	
	I am HEC approved supervisor	Yes	No
Name and Signature of Supervisor:			

Approval of the Convener Departmental Advisory Committee (Chair/HoD)	Approval of the Dean Faculty of
Name:	Name:
Signature:	Signature:

Signature

Secretary DAC

Part III (for official use only)						
Date of Comprehensiv Examination	e	Time /Duration	From:	То:		
Venue						

PART I (to be filled in by student)	
Student's Name	

COMSATS University Islamabad

Form for Appearing in Ph.D. Comprehensive Examination

Date of admission

Campus

Credit

Hours

Appendix A.6	
Form for Appearing in Ph.D. Comprehensive Examination	

FORM: GS-06 (v1)

Date:

Signature

Grade

Remarks

Convener DAC

Date:____

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CGPA

Specialization (if any) **Course Work Details:**

Course Title

Total Credit Hours Passed During Coursework

Reg. No.

Program

Department

Course Code

PART II (to be filled in by Supervisor)

Supervisor's Name

Proposed Date of Examination

Supervisor's Signature: _

Student's Signature: ____

Semester

Appendix A.7 Progress Report Form



MS

COMSATS University Islamabad

Progress Report of Student for the Semester ____

(Required from the 5th semester and onwards OR in case of unsatisfactory progress in any semester)

Ph.D. (Required for each semester in which the thesis is registered)

Student's Name:	Registration #:	
Campus:	Department:	
Program:	Supervisor's Name	
Thesis Title:		

Coursework Information:

No. Courses completed up till now	No. Credit Hours Passed	CGPA	Comprehensive Examination Status

Research Progress in the current semester:

Signature of Student: _____ Dated: _____

(Signature is not required in case of online submission of progress report through CUonline) Supervisor's Remarks

Progress Summary	□ Satisfactory	□ Unsatisfactory				
Specify the semester(s) of previous unsatisfactory reports (if any)						
Thesis status recommendation	□ In-Progress	□ Fail*				
* For a Ph.D student mark fail in case of two consecutive or three total adverse reports. For an MS student mark fail in case of two total adverse reports.						
Signature of Supervisor:	Dated:					

Signature of Convener DAC (Chairperson/HoD):_____ Dated____

	COMSATS Univ	versity Isl	amaba	d	
181	MS Thesis E	valuation I	Form		
l.	Master of Science in				
	Department:	Co	ourse Coo	le:	
	Student's Name:	Re	egistratio	n No:	
	Supervisor:	Da	ate of Exa	amination:	
	Title of the Thesis:				
. E	Examiner: Name:	Institution Phone:	:		
	Diservations: Please (\checkmark) appropriate box			Average	Poor
	Appropriateness of research study:				
	Presentation of Thesis:				
	Command of student on conducted research:				
	Comments :				
	Comments :				
St	rength:				
_					
v	Veaknesses:				

a.	Approved in Present Form		
b.	Approved with Minor Revisions (Please mention the suggested revisions overleaf)		Specify the time for revision (one, two or three weeks)
c.	Deferred with Major Revisions (Please mention the suggested revisions overleaf)	One Semester	Two Semesters
d.	Not Acceptable	Research on a different topic is to be undertaken	The candidate has terminally failed

Signatures of Panel of Examiners:

<<Name>>
External Examiner

<<Name>> Supervisor <<Name>> Chairperson/HoD



COMSATS University Islamabad

MS Thesis Evaluation Form

Student's Name:	Name:
-----------------	-------

Registration No:

6. In case of 5(b), Minor Revisions to be recommended in the following box:

I Authorize the Supervisor to ensure the incorporation of suggestions in the revised thesis without my further review. \Box Yes \Box No

7. In case of 5(c), Major Revisions to be recommended in the following box:

Use a separate sheet if required

Signatures of Panel of Examiners :

<<Name>> External Examiner <<Name>> Supervisor <<Name>> Chairperson/HoD

)		FORM: GS-09 (v1)
STATUTION CONTRACTOR	COMSATS Unive	rsity Islamabad	
Oral Exams of P	h.D. Thesis - Repo	ort of Evaluation C	ommittee
	ion Date:		
Student's Name	Registra	tion No:	
Supervisor's Name:			
Title of Thesis: "			"
Evaluation by the Committee Mem	bers: - <u>Please see ove</u>	erleaf for further instruction	<u>15</u>
	Oral Presentation	Written Thesis	Signature
1. << Name>> Examiner	F P	A D N	
Remarks:			
	Oral Presentation	Written Thesis	Signature
2. << Name>> Examiner	F P	A D N	
Domonkou			
Remarks:			
	Oral Presentation	Written Thesis	Signature
3. << Name>> Supervisor CUI < <campus>></campus>	F P	A D N	
-			
Remarks:			
4. Head,	Oral Presentation	Written Thesis	Signature
Department of << name of department	t>> F P	A D N	
CUI, << name of >> Campus			
Remarks:			
	Oral Presentation	Written Thesis	Signature
5. Chairperson, Department of << name of department	t >> F P	A D N	
CUI.			
Remarks:			
	Oral Presentation	Written Thesis	Signature
6. Dean, Faculty of << name of faculty>> CUI.	F P	A D N	
Remarks:			

Copy of Exams Section

)		FORM: GS-09 (v1)
CONTRACTOR OF CO	C	COMSATS Univer	sity Islamabad	
	Oral Exams of Pl Evaluati	n.D. Thesis - Repo	rt of Evaluation C	Committee
Student's Nar	ne	Registra	tion No:	
	Name:			
	s: "			
	y the Committee Meml			
1. << Name>> Examiner		Oral Presentation F P	Written Thesis A D	Signature
Remarks:				
2. << Name>> Examiner		Oral Presentation FP	Written Thesis	Signature
Remarks:				
		Oral Presentation	Written Thesis	Signature
3. << Name>> Supervisor CUI < <car< td=""><td></td><td>F P</td><td>A D N</td><td></td></car<>		F P	A D N	
Remarks:				
4. Head, Departmen CUI, << na	t of << name of department me of >>Campus	Oral Presentation	Written Thesis	Signature
Remarks:				
5. Chairperso Departmen CUI.	n, t of << name of department	Oral Presentation	Written Thesis	Signature
Remarks:				
6. Dean, Faculty of CUI.	<< name of faculty>>	Oral Presentation F P	Written Thesis	Signature
Remarks:				

Copy of Secretary BASAR

)		FORM: GS-09 (v1)
STATIS UNITERS	COMSATS Unive	rsity Islamabad	
	h.D. Thesis - Repo	ort of Evaluation Co	ommittee
Student's Name	Registra	ation No:	
Supervisor's Name:			
Title of Thesis: "			"
Evaluation by the Committee Mem	nbers: - <u>Please see ov</u>	verleaf for further instruction	<u>s</u>
1. << Name>> Examiner	Oral Presentation F P	Written Thesis A D N	Signature
Remarks:			
2. << Name>> Examiner	Oral Presentation F P	A D N	Signature
Remarks:	Oral Presentation	Written Thesis	Signature
3. << Name>> Supervisor CUI < <campus>></campus>	F P	A D N	organization of
Remarks:			
4. Head, Department of << name of department CUI, << name of >> Campus	Oral Presentation at >> FP	Written Thesis A D N	Signature
Remarks:			
 Chairperson, Department of << name of departmen CUI. 	Oral Presentation at >> F P	A D N	Signature
Remarks:			
6. Dean, Faculty of << name of faculty>> CUI.	Oral Presentation	Written ThesisADN	Signature
Remarks:			

Copy of Controller of Examinations

)		FORM: GS-09 (v1)
STATUTION CONTRACTOR	COMSATS Unive	rsity Islamabad	
Oral Exams of	Ph.D. Thesis - Rep	ort of Evaluation C	ommittee
	uation Date:		
Student's Name	Registr	ation No:	
Supervisor's Name:			
Title of Thesis: "			"
Evaluation by the Committee M	public: Plaga an a	and of for further instruction	
Evaluation by the Committee W	embers <u>Flease see or</u>	verleaf for further instructio	<u>ns</u>
1. << Name>>	Oral Presentation	Written Thesis	Signature
Examiner	F P	A D N	
Remarks:			
	Oral Presentation	Written Thesis	Signature
2. << Name>> Examiner	F P	A D N	
Remarks:		_ _	
	Oral Presentation	Written Thesis	Signature
3. << Name>>			
Supervisor CUI < <campus>></campus>	F P	A D N	
-			
Remarks:			
4. Head.	Oral Presentation	Written Thesis	Signature
4. Head, Department of << name of departm	nent >> F P	A D N	
CUI, << name of >> Campus			
Remarks:			
	Oral Presentation	Written Thesis	Signature
5. Chairperson,	nent >> F P	A D N	0
Department of << name of departm CUI.			
Remarks:			
6. Dean,	Oral Presentation	Written Thesis	Signature
Faculty of << name of faculty>>	F P	A D N	
CUI.			
Remarks:			

Copy of Head of the Department,

FORM: GS-09 (v1)

Instructions:

Please check the appropriate box as per the following:

)

Oral Presentation

Pass	Р
Fail	F

Written Thesis

Accepted	Α
Deferred	D
Not Acceptable	N

Note:

In case of a 'Deferred' grade, please mention the time period required/ needed by the scholar to incorporate suggested changes.

Appendix A.10 Certificate of Incorporation of Changes by Foreign Examiners (Ph.D.)



COMSATS University Islamabad

Certificate of Incorporation of Changes Suggested by Foreign External Examiners for PhD Thesis

Student's Name:	Registration #
Program:	Department:
Campus:	Date:
Thesis Title:	

I hereby declare that all the suggestions and changes proposed by the foreign external examiner(s) in the thesis have been addressed and the revised thesis is hereby submitted.

Student Name: _____

Signature: _____

FORM: GS-10 (v1)

Certification

It is hereby certified that all the suggestions and changes proposed by the foreign external examiner(s) in the thesis of the above student have been addressed in the revised thesis.

Supervisor Name: _____

HoD Name: _____

Signature: _____

Signature: _____

Chairperson Name: _____

Signature: _____

Appendix A.11 Certificate of Incorporation of Changes by Local Examiners (MS/Ph.D.)



COMSATS University Islamabad Certificate of Incorporation of Changes Suggested by Local External Examiners MS □ Ph.D.□

Student's Name:	Registration #
Program:	Department:
Campus:	Date:
Thesis Title:	

I hereby declare that all the suggestions and changes proposed by the local external examiner(s) in the thesis have been addressed and the revised thesis is hereby submitted.

Student Name: _____

Signature: _____

FORM: GS-11 (v1)

Certification

It is hereby certified that all the suggestions and changes proposed by the local external examiner(s) in the thesis of the above student have been addressed in the revised thesis.

Supervisor Name: _____

HoD Name: _____

Signature: _____

Signature: _____

Counter Signature (Only for MS Thesis in case of non authorization)

External Examiner Name: _____

Signature: _____

Counter Signature (Only for PhD Thesis)

Chairperson Name: _____

Signature: _____

Appendix A.12 Certificate of Originality of Thesis

FORM: GS-12 (v1)



COMSATS University Islamabad

Certificate of Originality of Thesis $MS \square Ph.D.\square$

Student's Name:	Registration #
Program:	Department:
Campus:	Date:
Thesis Title:	

I declare that my MS/PhD research work in the above-mentioned thesis is my original work and has neither been submitted, printed or published as research work, thesis, or book by another person, in any form, in university, research organization, journal etc. in Pakistan or abroad, nor a substantial part of this material has been accepted for the award of any degree at COMSATS University Islamabad or any other educational institute/university.

I further declare that the originality of contents through plagiarism software has also been verified.

Signature: _____ Date: _____ Certification It is certified that the originality of the contents of the above-mentioned research thesis is verified through plagiarism software Turn-it-in and found that similarities are within the acceptable range.

Supervisor:	HoD
Name:	Name:
Signature:	Signature:
Date:	Date:

Counter Signature (Only for PhD Thesis)

Chairperson:

Name:	
-------	--

Signature:_____



FORM: GS-13 (v1) COMSATS University Islamabad

Certificate of Paper Publication

I hereby certify that this research paper is part of my PhD research work and has been published in the HEC-approved Journal as described below. I also declare that my Ph.D. research is ______ under HEC indigenous Ph.D. scheme.

(Funded / Not Funded)

1. Student's Detail		
Name:	Registration No.:	
Program / Subject:	Department:	
Mobile No:	Email:	
Title of the Thesis:		
2. Title of Research Paper:		
3. Name of authors in sequence, as appears on the paper		
i	ii	
iii	iv	
V	vi	
4. Name of the Journal:		
5. Name of Publisher:		
6. Published from (country / city):		
7. Publish in which HEC Category / Impact Factor		
8. E-mail Address of the Editor:		
9. Published (copy attached / Verified by Supervisor) Year VolumePages		
Student's Signature	Dated:	

VERIFICATION:

The above facts have been verified and found correct.

(1) Signature of Supervisor

(2) Signature of Convener DAC (Chairman/HoD)

Appendix A.14 Synopsis Approval Form



COMSATS University Islamabad

Form for Approval of Synopsis

 $MS \square Ph.D. \square$

Student's Detail

Name:	Registration No.:
Program:	Area of Specialization (if any as per approved SoS):
Department:	Campus:
Date of admission:	Date of synopsis submission:
Proposed Title of the Thesis: (Use title case capitaliz	ation):

Student's signature_____

	Name and Designation	Signatura
Recommended or approved by	-	Signature
Sup	ervisory Committee	
a i		
Supervisor		
Co-supervisor (if any)		
Member		
Member		
Member		
Departmenta	l Advisory Committee (DAC)	
Secretary DAC for all members		
Verification of attached "Turnitin Report" by Convener DAC		
De	an of Faculty	
Dean Faculty of		
Board of Advance	ce Study and Research (BASAR)	
Secretary BASAR		

Recommendation and approval

FORM: GS-14 (v1)

B Document Templates and Proforma

Appendix B.1 Synopsis Template



COMSATS University Islamabad

Synopsis for MS \Box Ph.D. \Box

Name:	Registration No.:
Program:	Area of Specialization (if any as per approved SoS):
Department:	Campus:
Date of admission:	Date of synopsis submission:
Proposed Title of the Thesis: (Use titl	le case capitalization): Supervisory Committee
Name and Designation	Role
	Supervisor
	Co-supervisor/Member
	Member
	Member

Student's Signature

Summary of the Research

The summary of the proposal in a single paragraph must represent the whole research in a concise manner. It must depict the central concept and key point of the intended work. It should contain a brief on the statement of the problem (What kind of problem is being solved?), brief motivation (Why this problem is being considered?) and intended research methodology (How this problem will be solved?) to be followed, and the general proposed work that is to be investigated for the thesis.

[250 to 300 words approx.]

[Introduction must start from next page]

Appendix B.1 Synopsis Template

1. Introduction

This section includes an introduction starting from the major domain and narrowing it down to the specific domain. It should highlight the motivation and concerns of the research at the abstract level.

2. Literature Review

This section includes an extensive review of related work and state-of-the-art in chronological order. The minimum number of references for related work must be at least 25, out of which 50% should be from the last 3 years. The literature review should be concluded in the last paragraph with the research gap.

[1000 to 1500 words approx.]

3. Problem Statement

This includes the problem being addressed by the thesis and its scope. Try to brief the problem statement in a single short paragraph by focusing on the problem only and connecting it with the last concluding paragraph of "Related Work". It should be self-explanatory to the domain experts.

[up to 300 words approx.]

4. Research Objectives

5. Research Methodology (or Material and Methods)

This includes a methodology to be used quantitative or qualitative, specify all steps in brief (may furnish it with flowchart/Figure), followed by discussion, as the subsections about required datasets (if applicable) and performance evaluation measures.

[200 to 500 words approx.]

References

Use standard references style such as IEEE, APS or other as specified by the Dean of Faculty.

Tentative Schedule

(Identify major milestones and deliverables along with the schedule)

Tasks	Date/	Date/	Date/	Date/	Date/	Date/
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Task-I						

2

Student's Name: Enrollment Number:

Supervisor's Signature

Appendix B.1 Synopsis Template

Task-II			
Task-III			
Task-IV			
Task-V			
Task-VI			

Details of Completed Coursework

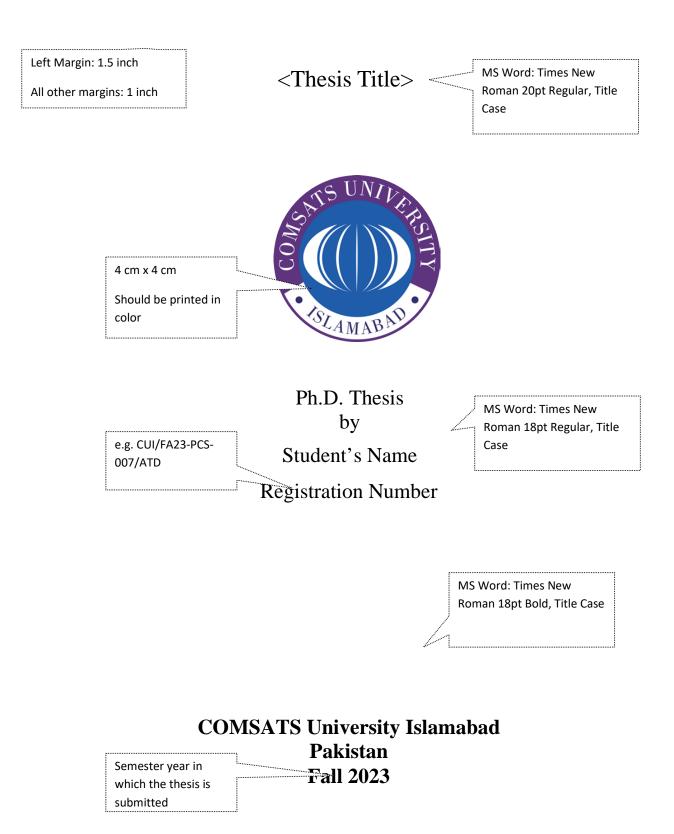
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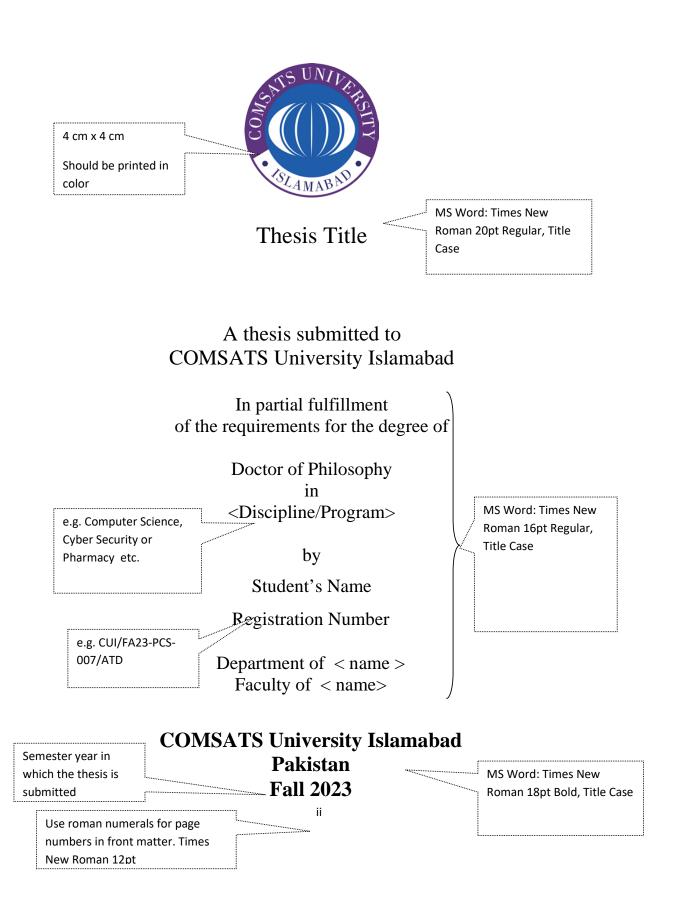
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iii

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Certificate of Approval

This is to certify that the research work presented in this thesis, entitled "<< Thesis Title >>" was conducted by <<Student's Name with registration number>>, under the supervision of <<Supervisor's Name>>. No part of this thesis has been submitted anywhere else for any other degree. This thesis is submitted to the Department of <<Name>>, COMSATS University Islamabad <<Campus Name>>, in partial fulfillment of the requirements for the degree of Doctor of Philosophy in the field of <<Program>>.

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iv

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Author's Declaration

I <<Student Name>>, <<Student Registration No>>, hereby state that my Ph.D. thesis titled "<<Thesis Title>>" is my own work and has not been submitted previously by me for taking any degree from this University i.e. COMSATS University Islamabad or anywhere else in the country/world.

At any time if my statement is found to be incorrect even after I graduate the University has the right to withdraw my Ph.D. degree.

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Plagiarism Undertaking

I solemnly declare that the research work presented in the thesis titled "<<Thesis Title>>" is solely my research work with no significant contribution from any other person. Small contribution/help wherever taken has been duly acknowledged and that complete thesis has been written by me.

I understand the zero-tolerance policy of HEC and COMSATS University Islamabad towards plagiarism. Therefore, I as an author of the above titled thesis declare that no portion of my thesis has been plagiarized and any material used as reference is properly referred/cited.

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Date: <<<<u>Date of Thesis Submission</u>>>

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<<Student Registration No>>

vi

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Certificate

It is certified that <<u><Student's Name>></u>, <<u><Student Registration No>></u>, has carried out all the work related to this thesis under my supervision at the Department of <<u><</u>deptt name>>, COMSATS University Islamabad, <<u><</u>campus name>> and the work fulfills the requirements for the award of the degree of Doctor of Philosophy in <<u><</u>degree title>>

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COMSATS University Islamabad

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Dedication

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Acknowledgements

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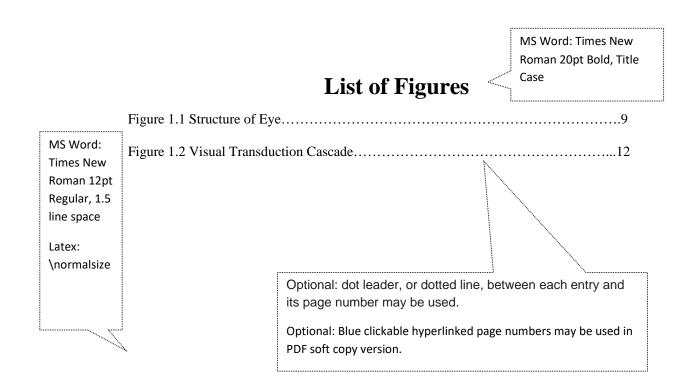
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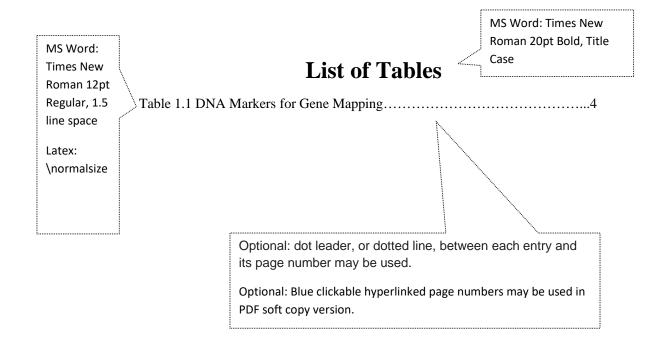
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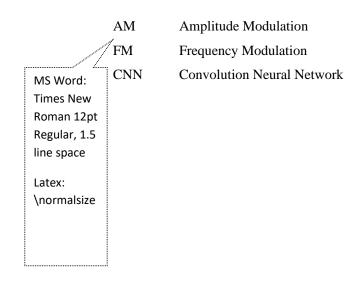
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List of Abbreviations

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Chapter 1 Introduction

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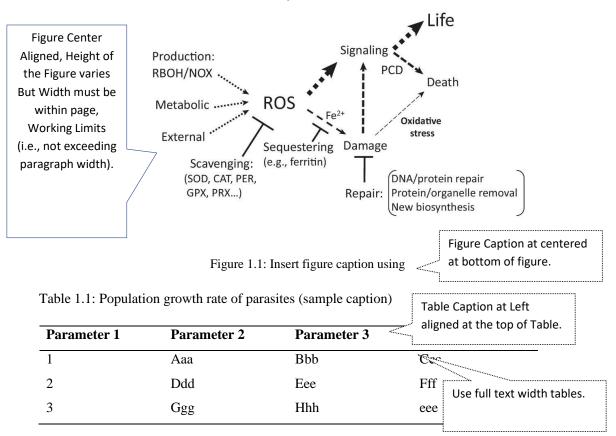
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Chapter 3 Materials and Methods

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Chapter 4 Results and Discussions

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Chapter 5 Conclusion

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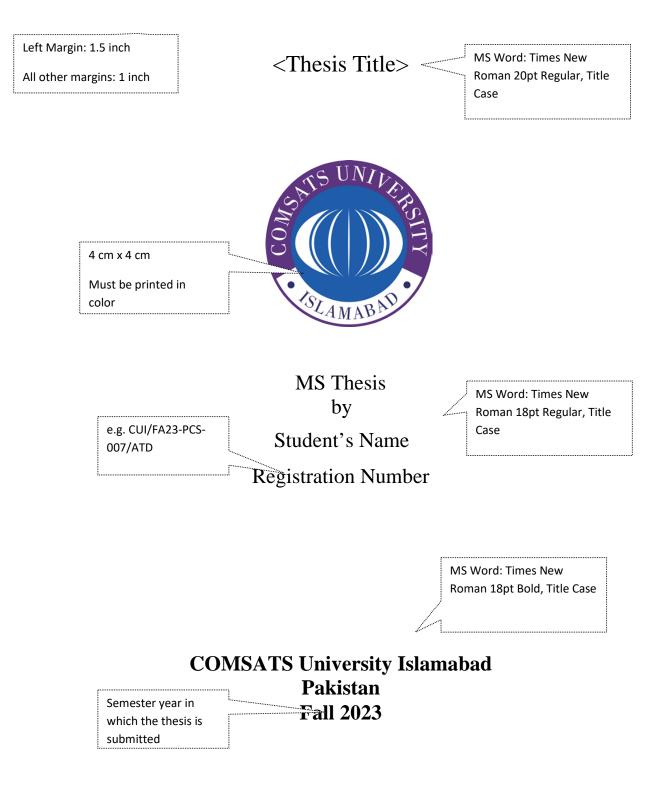
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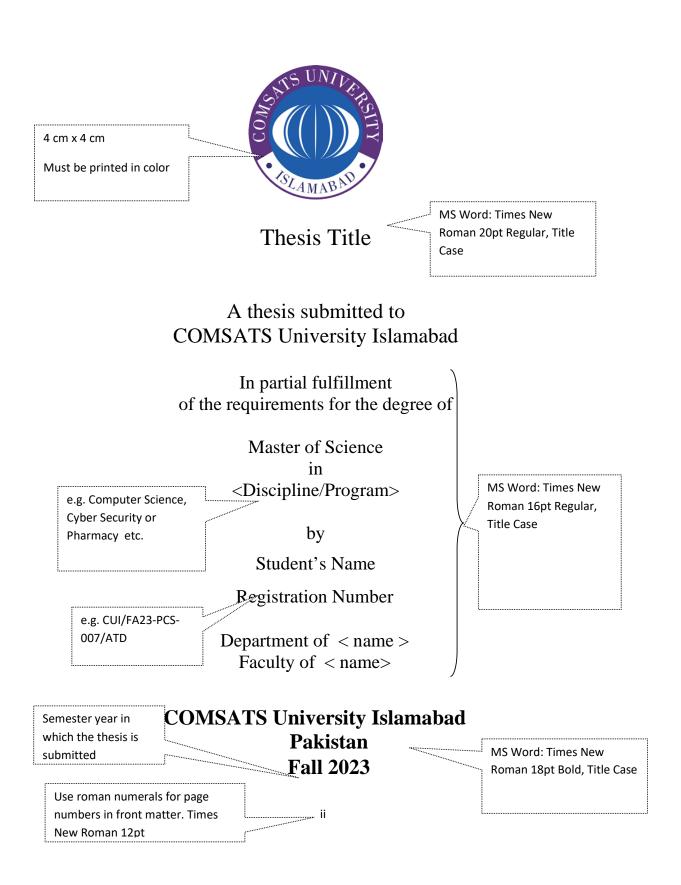
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Dedication

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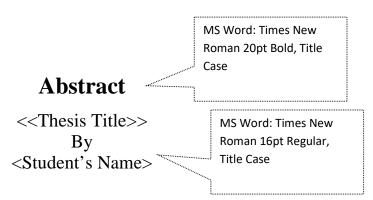
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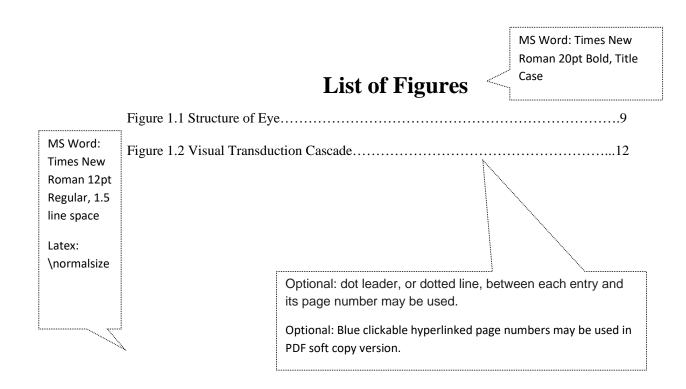
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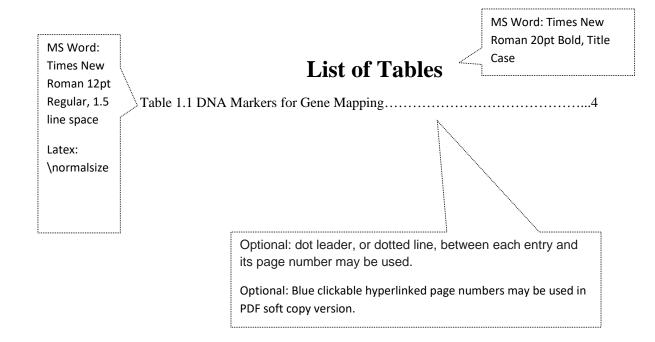


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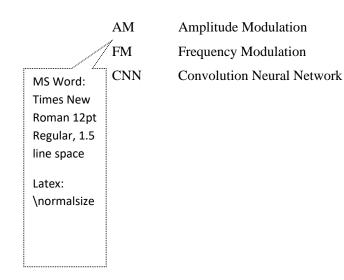
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List of Abbreviations

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Chapter 1 Introduction

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1.2.1 Scientific Significance

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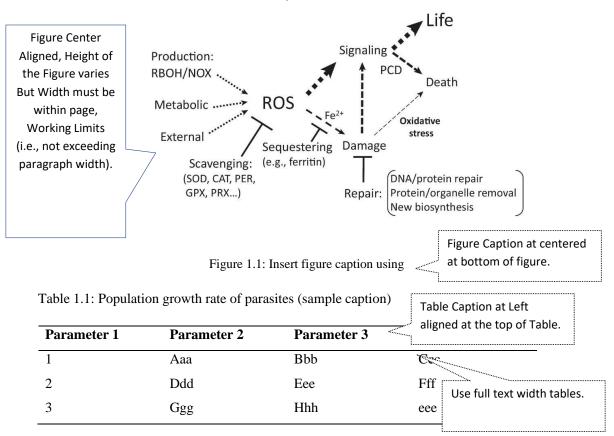
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1.2.2 Practical Significance

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Chapter 2 Literature Review

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Chapter 3 Materials and Methods

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Chapter 4 Results and Discussions

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Chapter 5 Conclusion

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4. Vancouver

5. APA

6. Chicago

	MS Word: Times New
	Roman 20pt Bold, Title
4	Case
	The list of publications is not a chapter!

1

Appendix B.4 Proforma for External Thesis Examiners



COMSATS University Islamabad

Registrar Secretariat, Principal Seat, Islamabad

PROFORMA FOR THE LIST OF EXTERNAL EXAMINERS FOR MS/MBA/Ph.D THESIS EVALUATION FOR APPROVAL OF BOS, BOF, BASAR and Academic Council

Name of Department:

S.No.	Examiner with Complete Postal Add		Electronic Address	Address E-mail: Examiner's Academic Academic Phone #: Degree & its Subject Fax #:		No. of Students Supervised		Experience		
		Name of Institution / University / Department / Organization of the Expert with Complete Postal Address (Please Avoid Abbreviations)	ert Ac Phone #: De			MS	PhD	Years	Field of Specialization	Remarks
1.										
2.										
3.										
4.										
5.										
lecon	nmended by: 1). H	HoD: 2). Chairperson:			3). Dean:		4). Regi	strar		

Consent of examiner and CV must be attached. Only official email address will be accepted. In case of foreign, the examiner must be serving in the Technical Advance Countries as per HEC list.

C Policies and Guidelines

C.1 Terms of References (ToRs) of the Departmental Advisory Committee (DAC)

- 1. Each Department of the CUI Campus must have a DAC.
- 2. The HoD shall normally be the convener of the DAC at all campuses except the one where the chairperson is stationed. The Chairpersons shall convene the DAC at his/her campus.
- 3. Convener will nominate at least more than five senior members of the Department for DAC and get approval from the Dean of the Faculty. The members of the DAC can be reshuffled when required by the convener.
- 4. In the absence of the convener, meetings are to be chaired by the HoD or senior member of the Department.
- 5. The departmental Graduate Coordinator (a Ph.D. Faculty member assigned the respective duties) shall be the secretary of DAC.
- 6. HoD shall obtain the approval for the appointment of the Graduate Coordinator of the Department from the relevant office.
- 7. The secretary shall be responsible for the overall operational management of the graduate program, keeping formal records/minutes of DAC business, and communicating of decisions to the students as well as other relevant departments.
- 8. A quorum of the committee shall comprise 2/3 majority of the members and no business will be transacted unless a quorum is present. Collective responsibility should be observed once decisions are taken.
- 9. DAC would receive, consider and respond to all matters associated with research activity and provide a forum for notification, discussion, and guidance to the Department. The committee will consider recommendations and offer advice on a broad range of issues relevant to the research activities, program and curriculum development, academic standards, and faculty development.
- 10. DAC can consider and make recommendations on the distribution and allocation of resources required to support the teaching and learning activities of the Graduate programs.

- 11. The committee would be responsible to assess/examining the research Synopses of MS and Ph.D. students and reject/recommending for approval via the respective Dean's office.
- 12. Internal exams/assessments of MS and Ph.D. research thesis will be conducted by DAC.
- 13. DAC would have oversight on the allocation of the MS / Ph.D. supervisors and teaching of the courses in the Department while considering the relevance and experiences of the faculty members for a specific course.
- 14. DAC will also review the progress reports of the research students during each semester (whenever needed).
- 15. The committee shall have a meeting with all the faculty members of the Department at least once a semester or whenever required.
- 16. It will be the responsibility of the DAC to monitor all the qualitative academic functions of the graduate programs of the Department. DAC will review the Qualitative Academic progress of the Graduate Programs of the Department on a regular basis and recommend/initiate corrective measures when and where deemed necessary. DAC shall debate, review and recommend approaches to enhance the quality of students' learning opportunities and their learning experiences.
- 17. DAC will be responsible for the implementation of the Scheme of Studies (SoS) and its continuous monitoring. DAC can initiate a new SoS / program and forward it to the Board of Studies (BoS) of the respective Department.
- 18. The committee may consult with staff and students on issues and developments relating to the Graduate programs of the Department. The final decision on whether or not to act on the recommendations will rest with the convener of the DAC.
- 19. DAC will monitor the effectiveness of the Examination / Assessment system of Graduate programs in the Department. DAC will be responsible for keeping the teaching, learning and assessment methodologies under review and for offering advice to the concerned faculty. DAC would act as a forum for discussion and guidance on academic plans, targets and activities of the Graduate programs in the Department.
- 20. When required, the committee can recommend BoS for the appointment of internal and external examiners for MS / Ph.D. research thesis.
- 21. The convener can call the meeting of DAC, whenever required to discuss/deliberate on any issues related to Graduate programs of the Department.
- 22. DAC would receive the outcomes of academic appeals and complaints, and student fitness to practice and academic and disciplinary offences.

C.2 Guidelines for Ph.D. Comprehensive Examination

Comprehensive Examination

The Comprehensive Examination (CE) is conducted under the supervision of the Comprehensive Examination Committee (CEC) as notified by the Department. All students admitted in the Ph.D. program must pass the comprehensive exam. Initially, this examination aims to evaluate:

- 1. Students' understanding of the subject, area of research, and necessary background for their Ph.D. research.
- 2. Candidates' ability for their research area and know how relevant peer-reviewed published work.

Conduction of Comprehensive Examination

The Head of the Department, in consultation with Department's Advisory Committee (DAC), is responsible for holding the CE. For this purpose, a Comprehensive Examination Committee (CEC) shall be formed normally consisting of three senior teachers/faculty members from the Department. Furthermore;

- 1. The Exam is conducted during the semester and the candidate must register in that semester and also apply for a comprehensive examination on the prescribed form.
- 2. The Examination Section of the campus announces the place, date, and time of the comprehensive exam in which the Ph.D. candidate has requested to appear.

Grading

The Ph.D. candidate securing minimum 60% marks in each paper (separately), shall be declared "Pass" in the comprehensive exam.

Result declaration

The Examination office declares the result of the comprehensive examination to the candidates. If a candidate fails to pass the exam in two attempts, his/her admission shall stand cancelled.

Composition of Papers for Comprehensive Examination Paper(s)

The CE comprises General Paper (P1) and Specialization Paper (P2). The candidate has to answer all the questions in a paper. The number of questions in each paper may vary. Each paper has a minimum time duration of 3 hours. The total marks for each paper are 100.

Paper 1 (P1)

For the General paper, questions are collected (to prepare a 'Questions-Pool') from departmental faculty members (minimum 3 questions from each), and later on, the paper is set from the available questions-pool by the Departmental Comprehensive Examination Committee (CEC).

Paper setting

For the general paper (P1) of the comprehensive examination, the department shall specify general subject areas that cover the breadth and depth of the domain. For example in Ph.D. Environmental Sciences, the areas include (but are not limited to); Atmosphere, Hydrosphere, Lithosphere, Biosphere, Environmental Pollutants (surrounding natural resources), Pollutants' control measures, Global warming and climate change, Health risks and safety, Loss of biodiversity, Social issues & the Environment, and Human population and its impacts on Environment.

Paper 2 (P2)

For the specialization paper, questions are collected from the supervisor and supervisory committee of the candidate. Each candidate's supervisory committee member must prepare at least two questions along with a minimum of three from the supervisor. CEC randomly selects the appropriate question numbers from this candidate-specific pool.

C.3 MS/Ph.D. Thesis Requirements and Guidelines

Format

The following requirements must be adhered to in the format of the submitted thesis:

- 1. The thesis must normally be printed on A4 paper; the Registrar may give permission for a thesis to be submitted in another format where he is satisfied that the contents of the thesis can be better expressed in that format.
- 2. Copies of the thesis must be presented in a permanent and legible form either in typescript or print; where copies are produced by photocopying processes, these must be of a permanent nature; where a word processor and printing devices are used, the printer must be capable of producing text of satisfactory quality; the size of the character used in the main text, including displayed matter and notes, must not be less than 2.0 mm for capitals and 1.5 mm for x-height (that is, the height of lower-case x). Font size 12 is recommended in the Times New Roman or equivalent script.
- 3. The thesis must be printed on the recto side of the page only; the the paper must be white and within the range of 70 to 100 g/m^2 .
- 4. 1.5 spacing should be used in typescript except for indented quotations or footnotes where single spacing may be used.
- 5. Pages must be numbered consecutively through the main text, including photographs and/or diagrams included as whole pages.
- 6. The title page must give the following information:
 - a. The full title of the thesis.
 - b. Name of the author(s).
 - c. The degree for which the thesis is submitted in partial fulfilment of its requirements;

- d. The name of the institution;
- e. The collaborating organization(s), if any; and
- f. The month and year of submission.

Structure

It is advisable for all students to look at some thesis in their area of research early on in their studies to see how it has been laid out. The actual chapters of a thesis depend on the topic addressed and the student's personal style. However, the following is a fairly generic structural guideline for a good thesis:

Abstract: An abstract of approximately 300-600 words bound into the thesis must be attached, which shall provide a synopsis of the thesis stating the nature and scope of the work undertaken and the contribution made to the knowledge of the subject. A loose copy of the abstract must be submitted with the thesis. This loose copy of the abstract must have the name of the author, the degree to which the thesis is submitted, and the title of the thesis as a heading.

It should state at the outset the problem studied, the method employed to study the problem, may it be experimental or theoretical, and the results obtained. A comparison with other published work on the subject may also be made where possible.

Introduction: This should be a short chapter 'setting the scene'. It should include a description of the area of study, the problems found in the area, the particular problems addressed in the thesis (the 'thesis statement'), why the question is important and how it relates to future work in the area, what the thesis achieves with respect to the thesis statement and the area. This section should finish with an annotated guide to the rest of the thesis, indicating how each part contributes to the questions set in the thesis statement. This part should challenge the reader to ensure that they understand the central thesis statement and ensure they want to read the rest of the work.

Body: The sequence of chapters should include the following material:

- Background work in the area; a literature survey.
- Closely argued treatment of the problems in the area.
- Highlighting those areas that will be addressed in the thesis statement with the justification of their importance and connection to the area of research.
- Detailed plan that how the thesis statement will be 'proved'.
- Exposition of the work done, along with the Experimental Method / Theoretical Approach used.
- Detailed coverage of the results and achievements.
- Discussion of results.

Conclusion: The conclusion should clearly and effectively communicate the following:

• Summary of the thesis statement and results.

- Problems and/or deficiencies with the work.
- Future work to be done by others.

Binding: The thesis shall be submitted for examination in a temporarily bound form, which is sufficiently secure to ensure that pages cannot be added or removed. A thesis submitted in a temporarily bound form must be in its final form in all respects except the binding and the incorporation of any amendments required by the examiners.

Following examination and the incorporation of any amendments required by the examiners, the thesis must be bound in purple binder cloth, in a permanent binding of the approved type before the degree may be awarded. The University library copy must be bound as follows:

- 1. The binding must be of a fixed type so that leaves cannot be removed or replaced; the front and rear boards must have sufficient rigidity to support the weight of the work when standing upright; and
- 2. The outside front board must bear the title of the work, the name and initials of the candidate, the qualification, and the year of submission in at least 24pt type; the same information (excluding the title of the work) must be shown on the spine of the work, reading downwards.
- 3. The candidate must confirm that the contents of the permanently bound thesis are identical to the version submitted for examination, except the binding and the incorporation of any required amendments. The thesis must be presented in English.

Electronic Copy: An electronic copy of the final approved thesis should also be submitted preferably on CD or DVD.

Collection of Material outside the University: Approval must be obtained from the supervisor before collecting material outside the University e.g. issuance of a questionnaire or request for any unpublished information.

Use of Confidential Material in Thesis: To fulfill the criteria for the award of a research degree, the thesis should be made available to/for teaching and study purposes and be based on evidence that can be checked. The University will therefore not, accept applications to restrict access to a successful thesis based on the grounds that confidential material has been included.

Presentation of Collaborative Research: Where a graduate student's research program is part of a collaborative group project, the thesis must indicate clearly the student's individual contribution and the extent of the collaboration.

Inclusion of Published Work: The student is free to publish material in advance of the thesis, but reference must be made in the thesis to any such work. Copies of published material should not be included in the body of the thesis but may be adapted to form an integral part of the thesis and thereby make a relevant contribution to the main theme of the thesis or placed in an adequately secured pocket at the end of the thesis.

C.4 Code of Conduct for Graduate Students

Each graduate student is expected to make a significant contribution to the intellectual atmosphere of the Department. Major contributing factors to this atmosphere are a strong desire to learn, motivation, creativity, and an untiring effort in research. Moreover, graduate students are expected to interact informally with other graduate students and with the faculty. There is much to be learned from these interactions. They are expected to attend all colloquia and seminars in areas of their research. Occasionally, they are expected to give talks on topics related to their own research.

In general, the following commitments are expected from each graduate student:

- 1. A commitment to hard work. Students are expected to devote all their energy to their work. This means working long hours on every working day of the week. This expectation is based on the desire to develop their abilities to the highest level and to prepare them for a successful career.
- 2. A commitment to excellence in research. Students are expected to work hard towards scholarly, original research that enhances the overall reputation, success, and productivity of the department.
- 3. A commitment to excellence in service. Students are expected to be model representatives of their department in the larger community. Specifically, they are expected to participate in all activities arranged by the department.
- 4. A commitment to developing independence. This means it is expected that sometimes in their graduate studies, the research project they are working on truly becomes their own. Its direction and design should, at some point, be driven by their abilities. This expectation is, again, based on the desire to prepare them for the challenges they will face after graduation.
- 5. A commitment to excellence overall. The students are expected to be good citizens of their department. That is, they must consider in their daily activities, what actions best promote the well-being and overall success of the department. Whether they are on a scholarship or hold an appointment as TA/RA, or are self-supporting, their responsibilities include enhancing the department's agenda in teaching, research, and service. We draw no distinction between their origin of support and their conduct.

In order to maintain high standards in teaching, research, and service, it is critical that every graduate student should act in a professional manner and comply with the above guidelines. If a graduate student purposely violates one of these guidelines, his/her supervisor/head of the department may take further action by issuing a written warning and/or by imposing a financial penalty.

Code of Practice for the Academic Heads

The establishment of a harmonious relationship between a research student and his or her supervisor is of utmost importance to both. Such harmony can only be established and maintained if both participants understand each other's concerns, treat each other with courtesy and agree on what constitutes the code and conventions on which such courtesy should be based. Following is a brief overview of the responsibilities of the various academic Heads, the graduate students and their supervisors.

Responsibilities of BASAR

The responsibilities include:

- 1. Ensuring that the MS/Ph.D. candidates are provided with access to all relevant information, to clarify University's procedures and policies, and to set out the expectations from the scholars and the faculty.
- 2. Providing/arranging support for an individual graduate student on request, where problems arise between students and supervisors, Chairman/Head of the departments or other authorities.
- 3. Chairing a grievance resolution panel in case a graduate student submits his/her grievance under the Grievance Procedures established by the respective department/faculty for Graduate Students.
- 4. Provisioning of travel grants to research students, where necessary, subject to the availability of funds.
- 5. Fostering graduate research within the University.
- 6. Organizing the induction of new graduate students.
- 7. Notifying the appointment of supervisors/co-supervisors and change of supervisors/co-supervisors.
- 8. Ensuring the institution of progress-monitoring procedures for all graduate students.
- 9. Acting as an advocate for graduate students in the University.

Responsibilities of the Respective Dean of Faculty

The Dean of the respective Faculty shall ensure the following:

- 1. That no supervisor is overloaded with supervisory responsibilities. The recommended maximum number of registered Ph.D. students per supervisor at any given time is five (5);
- 2. That the supervisors have the training and support they require to undertake effective supervision. This support might include recommending a supervisor to attend various training courses, conferences and seminars; teaching relief; and adjustment of other departmental responsibilities to take account of the supervisory load;
- 3. That an appraisal system be used to identify training needs;
- 4. That the supervisor's responsibilities are reviewed on an annual basis;
- 5. In the case where a supervisor is criticized for poor supervision, the Dean shall discuss the complaint with the supervisor and, where appropriate, either recommend training or, if necessary, give other duties instead of supervision;

- 6. That the graduate students are aware of the option available to them of confidentially discussing any problem they may encounter during graduate studies, e.g. in relation to supervision, resources or thesis examination, or any other area of the University's concern, with the Dean. The Dean should develop a research strategy in line with the national need and available diverse expertise within the faculty and each department of the faculty.
- 7. The dean should keep a check on the evaluation process of the Ph.D. thesis for its timely completion and unnecessary delay

Responsibilities of the Chairperson of the respective Department

- 1. Develop short-term and long-term research, education, and service to community strategies for their respective department.
- 2. Keep liaison with the Dean, Controller of Examination, ORIC, and the Registrar's office on Departmental Research (students and faculty) related issues.
- 3. Develop research thematic areas and a broader research agenda for the department within which MS and Ph.D. scholars as well as faculty should work.
- 4. Advise students, faculty and administrative staff regarding policies and procedures related to graduate programs of the Department when required.
- 5. Convene the meetings of BoS.
- 6. Develop and update the list of national and international subject experts/supervisors for evaluation of MS and Ph.D. thesis and performance of the faculty when required.
- 7. Oversee, the research and academic-industry linkages/performance of the faculty within the department.
- 8. Upgrade the existing and develop new academic and research programs in the department while using the convenorship of the BoS and membership of the BoF and Academic Council.
- 9. Recommend the appointment of HoD of the Department to the Campus Director and monitor the performance of the Head and the Department itself.
- 10. Where the Chairperson is also the convener of DAC he/she shall be responsible for the following:
 - (a) Convene departmental meetings of DAC etc.
 - (b) Nominate senior faculty of the Department for DAC for approval from the Dean of the Faculty. The members of the DAC can be reshuffled when required by the convener.
 - (c) Allocate (in consultation with the DAC members) the supervisor, co-supervisor and supervisory committee for MS and Ph.D. scholars.
 - (d) To process the research synopses of MS and Ph.D. students (in consultation with the DAC members) and reject/recommend for approval via the respective Dean office.

(e) Carry out internal exams/assessments of MS and Ph.D. research thesis (in consultation with the DAC members). In the absence of the chairman, HoD would convene the DAC.

Responsibilities of the Academic Authorities Heads of Departments and Departmental Advisory Committee.

The prescribed authorities are the Academic Council and BASAR. Responsibilities of the prescribed authorities are in general exercised in association with the Registrar and respective Heads of Departments and include ensuring:

- 1. Identify the areas of research which need to be undertaken at the University.
- 2. Encourage the development of faculty and facilities required for undertaking research in the prescribed areas.
- 3. Approve teachers/researchers for acting as supervisors for MS/Ph.D. projects.
- 4. Provision of funds for the development of research facilities.
- 5. Ensure that appropriate resources and physical facilities are provided to the research students.
- 6. Monitor the progress of research students, and help Registrar in overcoming any difficulties.
- 7. That mediation takes place when there are problems between students and Supervisory Committee members and panel changes are authorized when appropriate.
- 8. Maintain a list of approved thesis examiners, both foreign and local, in each subject/ area of research.
- 9. Where the HoD is the convener of DAC he/she shall be responsible for the following:
 - (a) Convene departmental meetings of DAC etc.
 - (b) Nominate senior faculty of the Department for DAC for approval from the Dean of the Faculty. The members of the DAC can be reshuffled when required by the convener.
 - (c) Allocate (in consultation with the DAC members) the supervisor, co-supervisor and supervisory committee for MS and Ph.D. scholars.
 - (d) To process the research synopses of MS and Ph.D. students (in consultation with the DAC members) and reject/recommend for approval via the respective Dean's office.
 - (e) Carry out internal exams/assessments of MS and Ph.D. research thesis (in consultation with the DAC members).

Responsibilities and Obligations of Research Supervisors

1. The supervisor should have adequate knowledge of a student's subject area and the theoretical approach to be applied.

- 2. Supervision sessions may naturally vary in length but on average they should last for about one hour. It is desirable that they should be largely uninterrupted by telephone calls, personal callers or departmental business.
- 3. A student should be given a response on written work, either orally or in writing, within one month of it being given to the supervisor.
- 4. The supervisor should assist new students to plan their time and draw up a framework within which the research is to progress.
- 5. The supervisor and department should be responsible for introducing the student to the wider research community within the University. The supervisor should take an active part in introducing the student to meetings of learned societies, seminars, conferences and workshops related to his field.
- 6. The supervisor should encourage and ensure that the candidate participates in the intellectual activity of the department through activities such as attendance and presentation at departmental seminars.
- 7. It is the responsibility of the supervisor to submit to the Head of the Department/Chairperson each semester, a report on the progress of the candidate, noting any significant achievements, difficulties and problems discussed with the student, including inadequate if applicable, and the action taken or the advice given.
- 8. A supervisor who considers that a candidate has failed to pursue the course satisfactorily or has not observed the degree rules shall report accordingly to the Head of the Department.
- 9. The supervisor must advise the student about any plans for the supervisor's extended absence from the University (e.g. on study or any other leave) during the candidature and the propose arrangements for supervision during this absence in writing to the student and Secretary DAC.
- 10. The responsibilities also include facilitating contact between the student and other researchers in related areas, including those in industry, or other institutions.

Responsibilities and Obligations of Research Students

The graduate student should ensure:

- 1. That he/she is familiar with the rules and regulations governing graduate studies at CUI.
- 2. Initiating (when required) and participating in frequent and adequate discussions with the supervisor on the research program. The supervisor and candidate are jointly responsible for initiating the discussions. As a rule, there should be contact between the supervisor and the candidate at least once a month, even if a normal meeting is not always possible. Contact should be maintained during any period of fieldwork/lab work.
- 3. That any serious problem with the supervisor, including those of access, should first be taken up with the supervisor at the time.

- 4. That if a student cannot resolve serious problems within the department, he/she should approach the campus director/in charge who would attempt to resolve the problem.
- 5. That by the end of the first year (subject to specific, published departmental practices which may, because of the nature of the subject vary) the student should have defined the area of research, become acquainted with the techniques to be employed, complete research review and have a framework for the future progress of the research with a timetable for the next two to three years.
- 6. That the student should have produced a synopsis or research proposal in written form to the satisfaction of the supervisor/supervisory committee.
- 7. The students should submit written work regularly to his/her supervisor.
- 8. The student should produce all material, in typed or word-processed, double-space form.
- 9. The student should not base his/her thesis on classified material, which would make the thesis inaccessible.